

# ClientOne

securities, llc

## Client One Securities, LLC Exemption Report

Client One Securities, LLC is a registered broker-dealer subject to Rule 17a-5 promulgated by the Securities and Exchange Commission (17 C.F.R 240.17a-5). This Exemption Report was prepared as required by 17 C.F.R 240.17a-5(d) (1) and (4). To the best of its knowledge and belief, the Company states the following:

### Identified Exemption Provision

The Company claims exemption from the custody and reserve provisions of Rule 15c3-3 by operating under the exemption provided by Rule 15c3-3, Paragraph(kx2xii).

### Statement Regarding Meeting Exemption Provision

The Company met the identified exemption provision without exception throughout the year ended December 31, 2015.

Client One Securities, LLC

By: 

Date: 2-22-2016

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