

Part III

Item 8: Order Sizes

- a. Does the NMS Stock ATS require minimum or maximum sizes for orders or trading interest?

Yes ☐ No ☐

If yes, specify any minimum or maximum order or trading interest size requirements and any related handling procedures.

The minimum size for an order is 100 shares. Any odd-lot order will be canceled.

In the POSIT Alert Crossing Session, Human Participants (see Part III, Item 9a for more detail on Human Participants) have size thresholds a contra order needs to meet in order for the Human Participant to receive an invitation to trade. In order for a Human Participant to receive a trade invitation, the contra side order must be either at least 10,000 shares, \$200,000 notional value, or 2% of the trailing 21 day average daily volume in the stock.

Exhibit 1 - Form BD Schedule A:

Full Legal Name	DE/FE/I	Title or Status	Date Acquired	Own. Code	Control Person	PR	CRD #
MESSINGER, CRAIG CHARLES CAVOLI, STEPHEN JOHN	I	CEO	07/2017 03/2021	NA	Y	N	731877 4468202