

SAWAYA SEGALAS SECURITIES, LLC
(a wholly owned subsidiary of Sawaya Segalas & Co., LLC)
STATEMENT OF FINANCIAL CONDITION
DECEMBER 31, 2016

ASSETS

ASSETS

Cash and cash equivalents	\$	4,614,938
Accounts receivable		2,550,000
Organizational costs (net of accumulated amortization of \$59,510)		52,070
Other assets		<u>6,874</u>
 TOTAL ASSETS	 \$	 <u><u>7,223,882</u></u>

LIABILITIES AND MEMBER'S EQUITY

LIABILITIES

Due to Parent	\$	4,068,921
Accounts payable and accrued expenses		<u>58,071</u>
 TOTAL LIABILITIES		 4,126,992

MEMBER'S EQUITY		<u>3,096,890</u>
 TOTAL LIABILITIES AND MEMBER'S EQUITY	 \$	 <u><u>7,223,882</u></u>

The accompanying notes are an integral part of these financial statements.