

SAWAYA SEGALAS SECURITIES, LLC  
(a wholly owned subsidiary of Sawaya Segalas & Co., LLC)  
STATEMENT OF FINANCIAL CONDITION  
DECEMBER 31, 2015

ASSETS

ASSETS		
Cash and cash equivalents	\$	1,907,583
Accounts receivable		3,135,000
Organizational costs (net of accumulated amortization of \$52,071)		59,509
Other assets		6,597
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TOTAL ASSETS	\$	<u><u>5,108,689</u></u>

LIABILITIES AND MEMBER'S EQUITY

LIABILITIES		
Due to Parent	\$	1,633,543
Accounts payable and accrued expenses		26,644
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TOTAL LIABILITIES		1,660,187
MEMBER'S EQUITY		
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TOTAL LIABILITIES AND MEMBER'S EQUITY	\$	<u><u>5,108,689</u></u>

The accompanying notes are an integral part of these financial statements.