

TRADE INFORMATICS LLC
STATEMENT OF FINANCIAL CONDITION
DECEMBER 31, 2016

ASSETS

| | |
|--|----------------------|
| Cash and cash equivalents | 2,893,332 |
| Securities owned, at market value (Note 3) | - |
| Due from broker | 936,083 |
| Commissions/Other Accounts Receivable | 312,183 |
| Fixed & Intangible Assets - | |
| net of accumulated depreciation & amortization of \$3,367,544 (Note 4) | 3,924,518 |
| Goodwill | 4,330,559 |
| Other assets | 184,948 |
| Total assets | <u>\$ 12,581,623</u> |

LIABILITIES AND MEMBERS' CAPITAL

Liabilities:

| | |
|--|------------------|
| Accounts payable and accrued expenses and liabilities (Note 5) | <u>1,920,739</u> |
| Total liabilities | <u>1,920,739</u> |

Commitments and Contingencies (Notes 6 and 9) -

Liabilities subordinated to the claims of general creditors

| | |
|--|----------------|
| Pursuant to subordinated loan agreement (Note 8) | <u>600,000</u> |
|--|----------------|

Capital (Note 10) 10,060,884

 Total liabilities and members' capital \$ 12,581,623

The accompanying notes are an integral part of this statement.