

EMPIRE ASSET MANAGEMENT COMPANY LLC
STATEMENT OF FINANCIAL CONDITION
DECEMBER 31, 2015

ASSETS

| | |
|--|--------------------|
| Current assets: | |
| Cash and cash equivalents | \$ 122,772 |
| Investment – marketable securities at FMV | 234,887 |
| Receivables from broker – dealers and clearing organizations | 894,133 |
| Other receivables | 182,903 |
| Prepaid expenses | <u>128,408</u> |
| Total current assets | 1,563,103 |
| Furniture, fixtures and equipment (net of accumulated depreciation) | 56,068 |
| Security deposits | <u>81,926</u> |
| Total assets | <u>\$1,701,097</u> |

LIABILITIES AND MEMBERS' EQUITY

| | |
|---------------------------------------|--------------------|
| Current liabilities: | |
| Accounts payable and accrued expenses | \$ 44,735 |
| Pension payable | 46,000 |
| Commissions payable | <u>174,854</u> |
| Total current liabilities | 265,589 |
| Members' equity | <u>1,435,508</u> |
| Total liabilities and members' equity | <u>\$1,701,097</u> |

The accompanying notes are an integral part of this statement.