

EMPIRE ASSET MANAGEMENT COMPANY LLC
STATEMENT OF EXEMPTION FROM SEC RULE 15c3-3

DECEMBER 31, 2015

Empire Asset Management Company LLC (the "Company"), to the best of my knowledge and belief, hereby certifies as follows:

1. The Company does not carry securities accounts for customers or perform custodial functions relating to customer securities.
2. All customer transactions are cleared through another broker-dealer on a fully disclosed basis.
3. As a consequence, the Company is exempt from Rule 15c3-3 of the Securities and Exchange Commission pursuant to Rule 15c3-3(k)(2)(i).
4. The Company met this exemption for the period from January 1, 2015 through December 31, 2015 without exception.

Dated: 2-29-16

Empire Asset Management Company LLC

By: 

Gregg Zeoli
Chief Executive Officer