

## U4 Employment History

Individual CRD#: [5427266](#)

Individual Name: MCCAFFREY, JOSEPH

Office of Employment Address History								
From	To	Firm	CRD Branch Number	NYSE Branch Code Number	Firm Billing Code	Address	Type of Office	Private Residence
04/01/2010	Present	HRC FUND ASSOCIATES, LLC ( <a href="#">142846</a> )	<a href="#">316851</a>			125 MAIDEN LANE 6TH FLOOR NEW YORK, NY 10038	Located At	No
08/13/2009	03/31/2010	PCS DUNBAR SECURITIES, LLC (128144)	410885			125 MAIDEN LANE 6TH FLOOR NEW YORK, NY 10038	Located At	No
04/13/2009	03/31/2010	PCS DUNBAR SECURITIES, LLC (128144)	213181			2 MANHATTANVILLE ROAD SUITE 205 PURCHASE, NY 10577	Located At	No
07/11/2007	12/31/2008	PCS SECURITIES, INC. (47588)	172697			125 MAIDEN LANE 6TH FLOOR NEW YORK, NY 10038	Located At	No

Please note that data contained in the U4 EMPLOYMENT HISTORY SCREEN is updated only by a U4 and does not reflect any changes made by the filing of a U5.

### Employment History

From	To	Name	Investment Related Business?	City	State	Country	Position
04/2011	Present	HRC PORTFOLIO SOLUTIONS, LLC	Y	NEW YORK	NY	USA	SENIOR VP SALES
04/2010	Present	HRC FUND ASSOCIATES, LLC	Y	NEW YORK	NY	USA	SENIOR VICE PRESIDENT
07/2007	04/2011	INSTITUTIONAL RESEARCH SERVICES	Y	NEW YORK	NY	USA	ACCOUNT EXECUTIVE
04/2009	03/2010	PCS DUNBAR SECURITIES, LLC	Y	PURCHASE	NY	USA	SALES REP
09/2007	12/2008	PCS SECURITIES, INC	Y	NEW YORK	NY	USA	REGISTERED REPRESENTATIVE
04/2006	12/2008	ROG CLOTHING	N	HADDONFIELD	NJ	USA	OWNER
07/2007	09/2007	PCS SECURITIES, INC.	Y	NEW YORK	NY	USA	ACCOUNT EXECUTIVE
09/2006	07/2007	ROBERT HALF INTERNATIONAL	N	NEW YORK	NY	USA	STAFFING MANAGER
01/2006	04/2006	UNEMPLOYED	N	HADDONFIELD	NJ	USA	UNEMPLOYED
01/2005	01/2006	CITATRON GRAPHICS	N	PENNSAUKEN	NJ	USA	SALES REPRESENTATIVE
10/2004	12/2004	UNEMPLOYED	N	HADDONFIELD	NJ	USA	UNEMPLOYED