

**Primary Business Name: BIDS TRADING L.P.****BD Number: 141296****BD - AMENDMENT****01/12/2022****BD - DIRECT OWNERS/EXECUTIVE OFFICERS**Are there any indirect owners of the *applicant* required to be reported on Schedule B?☒ **Yes** ☐ **No**

**Ownership Codes:** **NA - less than 5%**      **B - 10% but less than 25%**      **D - 50% but less than 75%**  
**A - 5% but less than 10%**      **C - 25% but less than 50%**      **E - 75% or more**

Full Legal Name	DE/FE/I	Title or Status	Date Acquired	Own. Code	Control Person	PR	CRD #(or S.S.No., IRS Tax #, Emp. ID)
BIDS HOLDINGS GP LLC	DE	GENERAL PARTNER	06/2006	NA	Y	N	20-5087280
BIDS HOLDINGS L.P.	DE	SOLE LIMITED PARTNER	06/2006	E	Y	N	20-5087370
LAZO, THEODORE RICHARD	I	CHIEF LEGAL OFFICER	01/2020	NA	N	N	7215911
LEE, JAMES A	I	ACTING PRESIDENT AND PRODUCT MANAGER/COO	10/2021	NA	Y	N	5185439
SMITH, BRIAN	I	CHIEF COMPLIANCE OFFICER	11/2021	NA	N	N	2866603
TEUFEL, FREDERICK CHARLES JR	I	PRINCIPAL FINANCIAL OFFICER	06/2021	NA	N	N	2092408