

Primary Business Name: BIDS TRADING L.P.

BD Number: 141296

BD - AMENDMENT

~~10/19/2021~~ 01/12/2022

BD - DIRECT OWNERS/EXECUTIVE OFFICERS

Are there any indirect owners of the *applicant* required to be reported on Schedule B?

Yes No

Ownership Codes: NA - less than 5% B - 10% but less than 25% D - 50% but less than 75% A - 5% but less than 10% C - 25% but less than 50% E - 75% or more

Full Legal Name	DE/FE/I	Title or Status	Date Acquired	Own. Code	Control Person	PR	CRD # (or S.S.No., IRS Tax #, Emp. ID)
BIDS HOLDINGS GP LLC	DE	GENERAL PARTNER	06/2006	NA	Y	N	20-5087280
BIDS HOLDINGS L.P.	DE	SOLE LIMITED PARTNER	06/2006	E	Y	N	20-5087370
LAZO, THEODORE RICHARD	I	CHIEF LEGAL OFFICER & CHIEF COMPLIANCE OFFICER	01/2020	NA	N	N	7215911
LEE, JAMES A	I	ACTING PRESIDENT AND PRODUCT MANAGER/COO	10/2021	NA	N Y	N	5185439
SHAY SMITH, MARYBETH <u>BRIAN</u>	I	VICE PRESIDENT, SALES & MARKETING <u>CHIEF COMPLIANCE OFFICER</u>	10/11/2007 <u>11/2021</u>	NA	Y <u>N</u>	N	20402452866603
<u>TEUFEL, FREDERICK</u>	<u>I</u>	<u>PRINCIPAL FINANCIAL OFFICER</u>	<u>06/2021</u>	<u>NA</u>	<u>N</u>	<u>N</u>	<u>2092408</u>

Summary report: Litera® Change-Pro for Word 10.8.2.11 Document comparison done on 1/24/2022 2:51:50 PM	
Style name: Standard	
Intelligent Table Comparison: Active	
Original filename: Form BD - Schedule A - 10-19-2021.pdf	
Modified filename: Form BD - Schedule A - 01-12-2022.pdf	
Changes:	
Add	10
Delete	13
Move From	0
<u>Move To</u>	0
Table Insert	1
Table Delete	0
<u>Table moves to</u>	0
Table moves from	0
Embedded Graphics (Visio, ChemDraw, Images etc.)	0
Embedded Excel	0
Format changes	0
Total Changes:	24