

Primary Business Name: BIDS TRADING L.P.

BD Number: 141296

BD - AMENDMENT

06/25/2021 10/19/2021

## BD - DIRECT OWNERS/EXECUTIVE OFFICERS

Are there any indirect owners of the *applicant* required to be reported on Schedule B?

Yes No

Ownership Codes: NA - less than 5% B - 10% but less than 25% D - 50% but less than 75% A - 5% but less than 10% C - 25% but less than 50% E - 75% or more

Full Legal Name	DE/FE/I	Title or Status	Date Acquired	Own. Code	Control Person	PR	CRD #(or S.S.No., IRS Tax #, Emp. ID)
BIDS HOLDINGS GP LLC	DE	GENERAL PARTNER	06/2006	NA	Y	N	20-5087280
BIDS HOLDINGS L.P.	DE	SOLE LIMITED PARTNER	06/2006	E	Y	N	20-5087370
HARKINS, BRYAN MICHAEL	I	PRESIDENT	03/2021	NA	Y	N	4285099
LAZO, THEODORE RICHARD	I	CHIEF LEGAL OFFICER & CHIEF COMPLIANCE OFFICER	01/2020	NA	N	N	7215911
LEE, JAMES A	I	ACTING PRESIDENT AND PRODUCT MANAGER/COO	06/10/2006/2021	NA	N	N	5185439
SHAY, MARYBETH	I	VICE PRESIDENT, SALES & MARKETING	10/2007	NA	Y	N	2040245
SMITH, BRIAN PATRICK	I	CHIEF COMPLIANCE OFFICER	02/2008	NA	N	N	2866603