

Primary Business Name: BIDS TRADING L.P.

BD Number: 141296

BD - AMENDMENT

06/17/2019

## BD - DIRECT OWNERS/EXECUTIVE OFFICERS

Are there any indirect owners of the *applicant* required to be reported on Schedule B?☒ Yes ☐ No

<b>Ownership Codes:</b>	<b>NA - less than 5%</b>	<b>B - 10% but less than 25%</b>	<b>D - 50% but less than 75%</b>
	<b>A - 5% but less than 10%</b>	<b>C - 25% but less than 50%</b>	<b>E - 75% or more</b>

Full Legal Name	DE/FE/I	Title or Status	Date Acquired	Own. Code	Control Person	PR	CRD #(or S.S.No., IRS Tax #, Emp. ID)
BIDS HOLDINGS GP LLC	DE	GENERAL PARTNER	06/2006	NA	Y	N	20-5087280
BIDS HOLDINGS L.P.	DE	SOLE LIMITED PARTNER	06/2006	E	Y	N	20-5087370
LEE, JAMES A	I	PRODUCT MANAGER/COO	06/2006	NA	N	N	5185439
MAHONEY, TIMOTHY JOSEPH	I	CHIEF EXECUTIVE OFFICER	10/2006	NA	Y	N	1298478
SHAY, MARYBETH	I	SENIOR MANAGING DIRECTOR - SALES & MARKETING	10/2007	NA	Y	N	2040245
SMITH, BRIAN PATRICK	I	CHIEF COMPLIANCE OFFICER	02/2008	NA	N	N	2866603