

Case Name: Emerson et al v. Mutual Fund Series Trust et al

Case No: 2:17-cv-02565 (U.S. District Court for the Eastern District of New York)

Plaintiffs: Jeffrey Berkowitz, Robert Caplin, Mary Emerson, Roger Emerson, Martha J. Goodlett

Defendants: Tobias Caldwell, Catalyst Capital Advisors LLC, Mutual Fund Series Trust, Erik Naviloff, Northern Lights

Distributors LLC, Bert Pariser, Jerry Szilagyi, Tiberiu Weisz

Termination date March 30, 2020