

Primary Business Name: EBX LLC

BD Number: 138138

BD - AMENDMENT

08/27/2021

BD - INDIRECT OWNERS

| | | |
|-------------------------|----------------------------------|-----------------------------------|
| Ownership Codes: | C - 25% but less than 50% | E - 75% or more |
| | D - 50% but less than 75% | F - Other General Partners |

| Full Legal Name | DE/FE/I | Entity in Which Interest is Owned | Status | Date Acquired | Own. Code | Control Person | PR | CRD # (or SSN, IRS Tax #, Emp. ID) |
|---------------------------------------|---------|---------------------------------------|-------------|---------------|-----------|----------------|----|------------------------------------|
| BANK OF AMERICA CORPORATION | DE | NB HOLDINGS CORPORATION | SHAREHOLDER | 11/1993 | E | N | Y | 56-0906609 |
| CITIGROUP FINANCIAL PRODUCTS INC. | DE | CITIGROUP FINANCIAL STRATEGIES INC. | SHAREHOLDER | 08/2005 | F | N | N | 13-3240203 |
| CITIGROUP GLOBAL MARKETS HOLDING INC. | DE | CITIGROUP FINANCIAL PRODUCTS INC. | SHAREHOLDER | 07/1984 | F | N | N | 11-2418067 |
| CITIGROUP INC. | DE | CITIGROUP GLOBAL MARKETS HOLDING INC. | SHAREHOLDER | 02/1997 | F | N | Y | 52-1568099 |
| FMR LLC | DE | FIDELITY GLOBAL BROKERAGE GROUP, INC. | SHAREHOLDER | 12/1994 | E | N | N | 04-3532603 |
| MERRILL LYNCH INTERNATIONAL, LLC | DE | MERRILL LYNCH LP HOLDINGS, INC. | SHAREHOLDER | 10/2013 | E | N | N | 13-2859641 |
| NB HOLDINGS CORPORATION | DE | MERRILL LYNCH INTERNATIONAL, LLC | MEMBER | 10/2013 | E | N | N | 56-1857749 |