

## Direct Owners/Executive Officers

**Organization CRD Number: 138138**
**Organization Name: LEVEL ATS**
**Organization SEC Number: 8-67145**
**Applicant Name: EBX LLC**
**No IA Record**

Full Legal Name	Domestic, Foreign, Individual	Status	Date Status Acquired	Ownership Code	Control person	Public Reporting Company	CRD#, EIN, SSN, IRS#
CREDIT SUISSE NEXT INVESTORS, LLC	Domestic Entity	SHAREHOLDER	12/2013	10% but less than 25%	N	N	46-3739129
CITIGROUP FINANCIAL STRATEGIES, INC.	Domestic Entity	SHAREHOLDER	08/2005	10% but less than 25%	N	N	04-3823642
LB I GROUP, INC.	Domestic Entity	SHAREHOLDER	08/2005	10% but less than 25%	N	N	13-2741778
FIDELITY GLOBAL BROKERAGE GROUP, INC.	Domestic Entity	SHAREHOLDER	08/2005	5% but less than 10%	N	N	04-3257666
LINARES, JOHN FITZGERALD	Individual	GENERAL COUNSEL & CORPORATE SECRETARY	01/2010	Less than 5%	N	N	<a href="#">3104547</a>
BARROS, DENISE CRONIN	Individual	CHIEF COMPLIANCE OFFICER	06/2006	Less than 5%	N	N	<a href="#">2969581</a>
CONARY, NEIL WHITNEY	Individual	CHIEF EXECUTIVE OFFICER	06/2006	Less than 5%	Y	N	<a href="#">1060818</a>
MERRILL LYNCH LP HOLDINGS, INC.	Domestic Entity	SHAREHOLDER	06/2006	10% but less than 25%	N	N	13-3408201
STUPAY, MICHAEL ELLIOT	Individual	FINANCIAL & OPERATIONS PRINCIPAL	06/2006	Less than 5%	N	N	<a href="#">2287906</a>
MIELE, STEPHEN R	Individual	CHIEF STRATEGY OFFICER	12/2007	Less than 5%	N	N	<a href="#">2645590</a>