

LCG CAPITAL ADVISORS, LLC
Exemption Report for SEC Rule 15c3-3
For the Year Ending December 31, 2015

To Whom It May Concern:

For the fiscal year ending December 31, 2015, LCG Capital Advisors, LLC claimed exemption from the governance of SEC Rule 15c3-3 as outlined under Paragraph (k)(2)(i) of the respective rule. This subsection outlines the following:

“The provisions of this rule shall not be applicable to a broker or dealer who carries no margin accounts, promptly transmits all customer funds and delivers all securities received in connection with its activities as a broker or dealer, does not otherwise hold funds or securities for, or owe money or securities to, customers and effectuates all financial transactions between the broker or dealer and its customers through one or more bank accounts, each to be designated as “Special Account for the Exclusive Benefit of Customers of (name of the broker or dealer).”

At no time during the fiscal year ending December 31, 2015 did LCG Capital Advisors, LLC hold funds or securities for, or owe money or securities to, customers. LCG Capital Advisors LLC qualified at all times during the fiscal year ending December 31, 2015, without exception, under the provisions of SEC Rule 15c3-3(k)(2)(i).