

Form SBSE-A Amendment

Filing Date: April 11, 2024

Summary of Changes:

1. Removal of MBL Principal – Nicholas O’Kane from Schedule A
 - a. Total Number of Principals (Applicant Data Page 3, Question 18) changed to 18
2. Updated NFA 7R with Principal Details
3. Schedule B, Section II, Item 13A
 - a. Adding MyComplianceOffice as official storage system for regulatory records