

HARDCASTLE TRADING USA, LLC
(A Limited Liability Company)
STATEMENT OF FINANCIAL CONDITION
JUNE 30, 2016

ASSETS

| | |
|--|-------------------------|
| Cash | \$ 9,971 |
| Dividends receivable | 326,410 |
| Securities owned, at fair value | 1,140,985,190 |
| Due from affiliate | 618,214 |
| Property and equipment, less accumulated depreciation of \$2,351,730 | 527,257 |
| Security deposits | 6,970 |
| Other assets | 36,381 |
| TOTAL ASSETS | \$ 1,142,510,393 |

LIABILITIES AND MEMBER'S EQUITY

| | |
|---|-------------------------|
| Liabilities: | |
| Securities sold, not yet purchased, at fair value | \$ 1,060,273,328 |
| Due to clearing broker-dealer | 32,632,238 |
| Accounts payable and accrued expenses | 766,182 |
| Dividends payable | 510,232 |
| Notes payable to Parent | 25,200,000 |
| Total liabilities | 1,119,381,980 |
| Commitments and contingencies (Notes 7, 8 and 9) | |
| Member's equity | 23,128,413 |
| TOTAL LIABILITIES AND MEMBER'S EQUITY | \$ 1,142,510,393 |

See accompanying notes to financial statements.