

Statement of Financial Condition

(Expressed in U.S. dollars in thousands)

ASSETS

As of December 31, 2017

Assets:	
Cash	\$ 467,092
Securities owned, at fair value	25,688,918
Securities purchased under agreements to resell	3,607,668
Securities borrowed	2,184,243
Receivable from clearing organizations	323,471
Receivable from brokers and dealers	288,947
Liquidity rebate and order flow receivable	39,627
Interest receivable	30,067
Other assets	27,282
Exchange memberships and trading rights (fair value \$24,517) (Note 2)	24,606
Dividends receivable	11,054
Total assets	\$ 32,692,975

LIABILITIES AND MEMBER'S CAPITAL

Liabilities:	
Securities sold, not yet purchased, at fair value	\$ 17,245,913
Payable to brokers, dealers, clearing organizations, and custodians	9,443,405
Securities sold under agreements to repurchase	4,378,857
Payable for liquidity and order flow	88,920
Payable to affiliates	69,691
Securities loaned	58,581
Exchange, clearance, and regulatory fees payable	32,027
Interest payable	24,944
Dividends payable	18,342
Other liabilities	1,185
Total liabilities before subordinated loans and accrued interest	31,361,865
Subordinated loans and accrued interest	354,575
Total liabilities	31,716,440
Member's capital	976,535
Total liabilities and member's capital	\$ 32,692,975

See notes to statement of financial condition.