


Sanford C. Bernstein & Co., LLC's Compliance Report

Sanford C. Bernstein & Co., LLC (the "Company") is a registered broker-dealer subject to Rule 17a-5 promulgated by the Securities and Exchange Commission (17 C.F.R. §240.17a-5, "Reports to be made by certain brokers and dealers"). As required by 17 C.F.R. § 240.17a-5(d)(1) and (3), the Company states as follows:

- (1) The Company has established and maintained Internal Control Over Compliance, as that term is defined in paragraph (d)(3)(ii) of Rule 17a-5;
- (2) The Company's Internal Control Over Compliance was not effective during the most recent fiscal year ended December 31, 2021 due to the material weakness described below:
 - Controls over compliance with Rule 2231 of Financial Industry Regulatory Authority were not effectively designed or operating to ensure the complete and accurate generation and distribution of customer account statements.
- (3) The Company's Internal Control Over Compliance was not effective as of the end of the most recent fiscal year ended December 31, 2021 due to the material weakness described above;
- (4) The Company was in compliance with 17 C.F.R. § 240.15c3-1 and 17 C.F.R. § 240.15c3-3(e) as of the end of the most recent fiscal year ended December 31, 2021; and
- (5) The information the Company used to state that the Company was in compliance with 17 C.F.R. §240.15c3-1 and 17 C.F.R. §240.15c3-3(e) was derived from the books and records of the Company.

Management previously concluded that the Company's Internal Control Over Compliance was effective as of and during the year ended December 31, 2021. However, management subsequently determined that the Company's Internal Control Over Compliance was not effective as of and during the year ended December 31, 2021, due to the subsequently identified material weakness described above. Accordingly, this Compliance Report has been restated and our conclusions on the Company's Internal Control Over Compliance as presented herein, are different from those expressed in our previous Compliance Report.

Sanford C. Bernstein & Co., LLC

I,  DocuSigned by:
CA91B985B2C9442..., swear (or affirm) that, to my best knowledge and belief, this Compliance Report is true and correct.

By: Gary Krueger

Title: Chief Financial Officer

December 8, 2022

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