

# SHEARMAN & STERLING

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January 18, 2002

## **VIA EDGAR**

Securities and Exchange Commission  
450 Fifth Street, N.W.  
Washington, D.C. 20549

### **Novartis AG Current Report on Form 6-K (Commission File No. 1-15024)**

Ladies and Gentlemen:

On behalf of Novartis AG, please find enclosed a copy of a Report on Form 6-K dated January 18, 2002, submitted electronically through EDGAR, under the Securities Exchange Act of 1934, as amended.

If the Staff wishes to discuss this matter at any time, please telephone (collect) any of James M. Bartos, Louis Lehot or the undersigned in our London office at (44-207) 655-5000.

Very truly yours,

Eurydice Goulet  
Legal Assistant

Enclosure

cc: New York Stock Exchange (Listed Securities Library)  
George Miller (Novartis AG)  
James M. Bartos (Shearman & Sterling)  
Louis Lehot (Shearman & Sterling)

**SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

**FORM 6-K**

**REPORT OF FOREIGN PRIVATE ISSUER  
PURSUANT TO RULE 13a-16 or 15d-16 OF  
THE SECURITIES EXCHANGE ACT OF 1934**

**Report on Form 6-K dated January 18, 2002**

**Novartis AG**  
(Name of Registrant)

Lichtstrasse 35  
4056 Basel  
Switzerland

\_\_\_\_\_  
(Address of Principal Executive Offices)

Indicate by check mark whether the registrant files or will file annual reports under cover of  
Form 20-F or Form 40-F.

Form 20-F ☒ Form 40-F ☐

Indicate by check mark whether the registrant by furnishing the information contained in this  
form is also thereby furnishing the information to the Commission pursuant to Rule 12g3-2(b)  
under the Securities Exchange Act of 1934.

Yes ☐ No ☒

Enclosure:

1. Invitation for the Full Year Results 2001 Presentation.



Investor Relations

**Novartis International AG**  
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Internet Address:  
<http://www.novartis.com>

**- Full Year Results 2001 -**

The Executive Committee of Novartis has great pleasure in inviting you to the presentation of the 2001 results and business developments. The meeting will take place on

**Thursday, February 7, 2002**

**At 1.30 PM for a 2.00 PM prompt start at the**

**Kongresszentrum in Basel**

**Messeplatz 21**

**Basel, Switzerland**

Following the presentation, refreshments will be served.

We hope that you will be able to attend. Please find attached a reply form for your convenience.

- Reply form -

- ☐ I will attend the **Basel Presentation on February 7, 2002**
- ☐ I will follow the presentation on the Internet

First name \_\_\_\_\_

Last name \_\_\_\_\_

Company \_\_\_\_\_

Address \_\_\_\_\_

\_\_\_\_\_

Fax \_\_\_\_\_

E-mail \_\_\_\_\_

Please send your response by mail or fax no later than February 1, 2002 to:

Novartis International AG

Raquel Rodriguez

Lichtstrasse 35

CH-4002 Basel

Fax # + 41 61 324 8444

E-mail: [raquel.rodriguez@group.novartis.com](mailto:raquel.rodriguez@group.novartis.com)

**- Full Year Results 2001 webcast and dial-in numbers -**

If you wish to follow our Full Year Results presentation as a webcast on the Internet, please use the following link:

<http://www.novartis.com/investors/index.shtml>

If you prefer to dial into the conference through a telephone line we also offer the following dial-in numbers. The presentations will be available on the website and least 1 hour prior to the start of the event.

Date: Thursday, February 7, 2002  
Time: Advisable: dial-in 15 minutes before  
2.00 p.m. Switzerland  
1.00 p.m. UK  
8.00 a.m. New York  
Phone numbers: + 41 (0) 848 22 41 11 Europe  
+ 1 800 860 24 42 USA

**Playback for 72 hrs after the call commencing**

Date: Thursday, February 7, 2002  
Time: 6.00 p.m. (Switzerland)  
5.00 p.m. (UK)  
12.00 p.m. (New York)  
Phone numbers: + 41 (0) 848 72 25 00 Europe  
+ 1 877 344 75 29 USA  
Code: 539#

## **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

### **Novartis AG**

Date: January 18, 2002

By: /s/ RAYMUND BREU

Name: Raymund Breu

Title: Chief Financial Officer