

Summary of updates made on 27 October 2022:

- In Schedule A,
 - o 1 Principal was added (Taichi Takahashi)
 - o 2 Principals were removed (David Chin and Thomas Naratil; as they are no longer Principals)
 - o For 2 Principals (David Howe Kelly and Paul Daniel Ritchie) who were already included in Schedule A before – since their NFA IDs are now active, we populated the NFA ID field in Schedule A for these 2 Principals
 - o Principal Iqbal Khan's title was updated
- In Schedule B Section II,
 - o The previously noted Chief Financial Officer (CFO) was updated with the current CFO, in relation to Item 14
 - o A few more organizations were added, in relation to Item 13B
- In Schedule F Section II, a few more regulators were added, in relation to Item 17
- We also attached an updated UBS AG 7-R