

Primary Business Name: LIQUIDNET, INC.

BD Number: 103987

BD - AMENDMENT

01/16/2019

BD - INDIRECT OWNERS

Ownership Codes: NA - less than 5% B - 10% but less than 25% D - 50% but less than 75%
A - 5% but less than 10% C - 25% but less than 50% E - 75% or more

Full Legal Name	DE/FE/I Entity in Which Interest is Owned	Status	Date Acquired	Own. Code	Control Person	PR	CRD # (or SSN, IRS Tax #, Emp. ID)
MERRIN, SETH I ISAAC	LIQUIDNET HOLDINGS, INC.	SHAREHOLDER	01/2000	C	Y	N	1073461