

**Bank of America, N.A.**

Form SBSE-A

Supplemental Filing Attachment:

**Response to Schedule B, Section II**

**November 1, 2021**

**Bank of America, N.A. - Response to Question 13A of Form SBSE-A with respect to the nature of the arrangement with the Firm with respect to books or records**

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| <b>Firm or Organization Name</b>   | Workday, Inc   |
| <b>Business Address</b>  |  |
| <b>Effective Date</b>  | 11/1/2021  |
| <b>Termination Date</b>  |  |
| <b>Individual Name</b>   |  |
| <b>CRD, NFA, and/or IARD Number (if any)</b>   |  |
| <b>Business Address (if applicable)</b>  | 6110 STONERIDGE MALL RD, Pleasanton, CA 94588  |
| <b>Briefly describe the nature of the arrangement with respect to books or records (ITEM 13A).</b> | Personnel Records, including but not limited to questionnaires or applications for employment and a listing of associated persons and their work location. |

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| <b>Firm or Organization Name</b>   | Markit North America, Inc   |
| <b>Business Address</b>  |   |
| <b>Effective Date</b>  | 11/1/2021   |
| <b>Termination Date</b>  |   |
| <b>Individual Name</b>   |   |
| <b>CRD, NFA, and/or IARD Number (if any)</b>   |   |
| <b>Business Address (if applicable)</b>  | 450 West 33rd Street, 5th Floor, New York, NY 10001   |
| <b>Briefly describe the nature of the arrangement with respect to books or records (ITEM 13A).</b> | Compliance Records, including but not limited to documentation of compliance with business conduct standards, disclosures, and notifications. |

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| <b>Firm or Organization Name</b>   | Micro Focus, LLC   |
| <b>Business Address</b>  |  |
| <b>Effective Date</b>  | 11/1/2021  |
| <b>Termination Date</b>  |  |
| <b>Individual Name</b>   |  |
| <b>CRD, NFA, and/or IARD Number (if any)</b>   |  |
| <b>Business Address (if applicable)</b>  | 4555 Great America Pkwy Santa Clara , CA , 95054-1243                          |
| <b>Briefly describe the nature of the arrangement with respect to books or records (ITEM 13A).</b> | Communication Records, including but not limited to electronic communications. |

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| <b>Firm or Organization Name</b>   | Proofpoint, Inc.   |
| <b>Business Address</b>  |  |
| <b>Effective Date</b>  | 11/1/2021  |
| <b>Termination Date</b>  |  |
| <b>Individual Name</b>   |  |
| <b>CRD, NFA, and/or IARD Number (if any)</b>   |  |
| <b>Business Address (if applicable)</b>  | 892 Ross Drive, Sunnyvale, CA 94089  |
| <b>Briefly describe the nature of the arrangement with respect to books or records (ITEM 13A).</b> | Communication Records, including but not limited to electronic communications. |

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| <b>Firm or Organization Name</b>   | Bank of America, N.A. (including branches in London and Hong Kong)  |
| <b>Business Address</b>  |   |
| <b>Effective Date</b>  | 11/1/2021   |
| <b>Termination Date</b>  |   |
| <b>Individual Name</b>   |   |
| <b>CRD, NFA, and/or IARD Number (if any)</b>   |   |
| <b>Business Address (if applicable)</b>  | 100 North Tryon Street, Charlotte, NC 28255   |
| <b>Briefly describe the nature of the arrangement with respect to books or records (ITEM 13A).</b> | Financial Records, Personnel Records, Trading Records, Registration Documents, Compliance Records, Communications, and Account Records. |

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| <b>Firm or Organization Name</b>   | Merrill Lynch Global Services Pte. Ltd  |
| <b>Business Address</b>  |   |
| <b>Effective Date</b>  | 11/1/2021   |
| <b>Termination Date</b>  |   |
| <b>Individual Name</b>   |   |
| <b>CRD, NFA, and/or IARD Number (if any)</b>   |   |
| <b>Business Address (if applicable)</b>  | 2 Harbourfront Place #02-01, Singapore, 098499  |
| <b>Briefly describe the nature of the arrangement with respect to books or records (ITEM 13A).</b> | Financial Records, Personnel Records, Trading Records, Registration Documents, Compliance Records, Communications, and Account Records. |

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| <b>Firm or Organization Name</b>   | BofA Securities Japan Co., Ltd.   |
| <b>Business Address</b>  |   |
| <b>Effective Date</b>  | 11/1/2021   |
| <b>Termination Date</b>  |   |
| <b>Individual Name</b>   |   |
| <b>CRD, NFA, and/or IARD Number (if any)</b>   |   |
| <b>Business Address (if applicable)</b>  | Nihonbashi 1-chome Building 1-4-1 Nihonboshi, Tokyo, Japan, 103-8230  |
| <b>Briefly describe the nature of the arrangement with respect to books or records (ITEM 13A).</b> | Financial Records, Personnel Records, Trading Records, Registration Documents, Compliance Records, Communications, and Account Records. |