

**Bank of America, N.A.**

Form SBSE-A

Supplemental Filing Attachment:

**Response to Schedule B, Section II**

**November 1, 2021**

**Bank of America, N.A. - Response to Question 13A of Form SBSE-A with respect to the nature of the arrangement with the Firm with respect to books or records**

<b>Firm or Organization Name</b>	Workday, Inc
<b>Business Address</b>	
<b>Effective Date</b>	11/1/2021
<b>Termination Date</b>	
<b>Individual Name</b>	
<b>CRD, NFA, and/or IARD Number (if any)</b>	
<b>Business Address (if applicable)</b>	6110 STONERIDGE MALL RD, Pleasanton, CA 94588
<b>Briefly describe the nature of the arrangement with respect to books or records (ITEM 13A).</b>	Personnel Records, including but not limited to questionnaires or applications for employment and a listing of associated persons and their work location.

<b>Firm or Organization Name</b>	Markit North America, Inc
<b>Business Address</b>	
<b>Effective Date</b>	11/1/2021
<b>Termination Date</b>	
<b>Individual Name</b>	
<b>CRD, NFA, and/or IARD Number (if any)</b>	
<b>Business Address (if applicable)</b>	450 West 33rd Street, 5th Floor, New York, NY 10001
<b>Briefly describe the nature of the arrangement with respect to books or records (ITEM 13A).</b>	Compliance Records, including but not limited to documentation of compliance with business conduct standards, disclosures, and notifications.

<b>Firm or Organization Name</b>	Micro Focus, LLC
<b>Business Address</b>	
<b>Effective Date</b>	11/1/2021
<b>Termination Date</b>	
<b>Individual Name</b>	
<b>CRD, NFA, and/or IARD Number (if any)</b>	
<b>Business Address (if applicable)</b>	4555 Great America Pkwy Santa Clara , CA , 95054-1243
<b>Briefly describe the nature of the arrangement with respect to books or records (ITEM 13A).</b>	Communication Records, including but not limited to electronic communications.

<b>Firm or Organization Name</b>	Proofpoint, Inc.
<b>Business Address</b>	
<b>Effective Date</b>	11/1/2021
<b>Termination Date</b>	
<b>Individual Name</b>	
<b>CRD, NFA, and/or IARD Number (if any)</b>	
<b>Business Address (if applicable)</b>	892 Ross Drive, Sunnyvale, CA 94089
<b>Briefly describe the nature of the arrangement with respect to books or records (ITEM 13A).</b>	Communication Records, including but not limited to electronic communications.

<b>Firm or Organization Name</b>	Bank of America, N.A. (including branches in London and Hong Kong)
<b>Business Address</b>	
<b>Effective Date</b>	11/1/2021
<b>Termination Date</b>	
<b>Individual Name</b>	
<b>CRD, NFA, and/or IARD Number (if any)</b>	
<b>Business Address (if applicable)</b>	100 North Tryon Street, Charlotte, NC 28255
<b>Briefly describe the nature of the arrangement with respect to books or records (ITEM 13A).</b>	Financial Records, Personnel Records, Trading Records, Registration Documents, Compliance Records, Communications, and Account Records.

<b>Firm or Organization Name</b>	Merrill Lynch Global Services Pte. Ltd
<b>Business Address</b>	
<b>Effective Date</b>	11/1/2021
<b>Termination Date</b>	
<b>Individual Name</b>	
<b>CRD, NFA, and/or IARD Number (if any)</b>	
<b>Business Address (if applicable)</b>	2 Harbourfront Place #02-01, Singapore, 098499
<b>Briefly describe the nature of the arrangement with respect to books or records (ITEM 13A).</b>	Financial Records, Personnel Records, Trading Records, Registration Documents, Compliance Records, Communications, and Account Records.

<b>Firm or Organization Name</b>	BofA Securities Japan Co., Ltd.
<b>Business Address</b>	
<b>Effective Date</b>	11/1/2021
<b>Termination Date</b>	
<b>Individual Name</b>	
<b>CRD, NFA, and/or IARD Number (if any)</b>	
<b>Business Address (if applicable)</b>	Nihonbashi 1-chome Building 1-4-1 Nihonboshi, Tokyo, Japan, 103-8230
<b>Briefly describe the nature of the arrangement with respect to books or records (ITEM 13A).</b>	Financial Records, Personnel Records, Trading Records, Registration Documents, Compliance Records, Communications, and Account Records.