

## Organization Indirect Owners

**Organization CRD Number: 47681**

**Organization Name: AQUA SECURITIES L.P.**

**Organization SEC Number: 8-51866**

**Applicant Name: AQUA SECURITIES L.P.**

**No IA Record**

Full Legal Name	Domestic, Foreign, Individual	Entity in Which Interest is Owned	Status	Date Status Acquired	Ownership Code	Control person	Public Reporting Company	CRD#, EIN, SSN, IRS#
LUTNICK, HOWARD WILLIAM	Individual	HOWARD W. LUTNICK REVOCABLE TRUST	SOLE TRUSTEE	10/2002	75% or more	Y	N	<a href="#">1157026</a>
CF GROUP MANAGEMENT INC	Domestic Entity	CANTOR FITZGERALD LP	MANAGING GENERAL PARTNER	01/1996	Other General Partners	Y	N	13-3679422
CANTOR FITZGERALD, L.P.	Domestic Entity	CFLP CFS I HOLDINGS, L.P.	LIMITED PARTNER	06/2005	75% or more	Y	N	13-3680189
LUTNICK, HOWARD WILLIAM	Individual	HWL PERSONAL ASSET TRUST	SOLE TRUSTEE	05/2009	75% or more	Y	N	<a href="#">1157026</a>
BGC PARTNERS, L.P.	Domestic Entity	AQUA SECURITIES HOLDINGS LLC	MEMBER	04/2008	25% but less than 50%	Y	N	20-1036951
CFLP CFS I HOLDINGS LP	Domestic Entity	CANTOR FITZGERALD SECURITIES	LIMITED PARTNER	06/2005	75% or more	Y	N	20-2948695
HWL PERSONAL ASSET TRUST	Domestic Entity	CANTOR FITZGERALD, L.P.	LIMITED PARTNER	12/2010	50% but less than 75%	Y	N	99-9999999
HOWARD W.LUTNICK REVOCABLE TRUST	Domestic Entity	CF GROUP MANAGEMENT, INC.	SHAREHOLDER	01/2010	75% or more	Y	N	99-9999999
BGC PARTNERS, INC	Domestic Entity	BGC PARTNERS, L.P.	LIMITED PARTNER	04/2008	25% but less than 50%	Y	Y	13-4063515
CFLP CFS HOLDINGS, LLC	Domestic Entity	CFLP CFS I HOLDINGS, L.P.	GENERAL PARTNER	06/2005	Other General Partners	Y	N	13-3680189
BGC HOLDINGS ,L.P.	Domestic Entity	BGC HOLDINGS ,LLC	SOLE MEMBER	04/2008	75% or more	Y	N	20-1825903
BGC HOLDINGS, LLC	Domestic Entity	BGC PARTNERS, L.P.	GENERAL PARTNER	04/2008	Other General Partners	Y	N	20-1825903
BGC FINANCIAL	Domestic Entity	BGC PARTNERS,	LIMITED PARTNER	04/2008	25% but less than	Y	N	59-3262958

GROUP, INC.		L.P.			50%			
BGC PARTNERS, INC.	Domestic Entity	BGC GP, LLC	MANAGING MEMBER	03/2008	Other General Partners	Y	Y	13-4063515
BGC PARTNERS, INC.	Domestic Entity	BGC HOLDINGS U.S., INC.	SHAREHOLDER	12/2006	75% or more	Y	Y	13-4063515
BGC PARTNERS, INC.	Domestic Entity	BGC GP LIMITED	SHAREHOLDER	06/2006	75% or more	Y	Y	13-4063515
BGC HOLDINGS U.S., INC.	Domestic Entity	BGC FINANCIAL GROUP, INC.	SHAREHOLDER	04/2008	75% or more	Y	N	13-4063515
BGC GP LIMITED	Foreign Entity	BGC GP, LLC	MEMBER	03/2008	75% or more	Y	N	FOREIGN
BGC GP, LLC	Domestic Entity	BGC HOLDINGS, L.P.	GENERAL PARTNER	04/2008	Other General Partners	Y	N	26-2172423
CANTOR FITZGERALD L.P.	Domestic Entity	CFLP CFS HOLDINGS, LLC	SOLE MEMBER	06/2005	75% or more	Y	N	13-3680189
CANTOR FITZGERALD, L.P.	Domestic Entity	AQUA SECURITIES HOLDINGS, LLC	MANAGING MEMBER	04/2008	50% but less than 75%	Y	N	13-3680189
CANTOR FITZGERALD, L.P.	Domestic Entity	BGC HOLDINGS, L.P.	LIMITED PARTNER	04/2008	25% but less than 50%	Y	N	13-3680189
CF GROUP MANAGEMENT, INC.	Domestic Entity	CFGF CFS HOLDINGS, LLC	MANAGING PARTNER	06/2005	75% or more	Y	N	13-3679422
CFGF CFS HOLDINGS, LLC	Domestic Entity	CANTOR FITZGERALD SECURITIES	GENERAL PARTNER	06/2005	Other General Partners	Y	N	13-3679422

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