

## Direct Owners/Executive Officers

**Organization CRD Number: 47681**

**Organization Name: AQUA SECURITIES L.P.**

**Organization SEC Number: 8-51866**

**Applicant Name: AQUA SECURITIES L.P.**

**No IA Record**

Full Legal Name	Domestic, Foreign, Individual	Status	Date Status Acquired	Ownership Code	Control person	Public Reporting Company	CRD#, EIN, SSN, IRS#
BGC PARTNERS, L.P.	Domestic Entity	LIMITED PARTNER	04/2008	25% but less than 50%	Y	N	20-1036951
FOLEY, KEVIN MICHAEL	Individual	PRESIDENT & CEO	02/2005	Less than 5%	Y	N	<a href="#">1758030</a>
PAULSON, KENNETH MICHAEL	Individual	CHIEF FINANCIAL OFFICER	01/2020	Less than 5%	Y	N	<a href="#">2862259</a>
AQUA SECURITIES HOLDINGS LLC	Domestic Entity	MAMAGING PARTNER	05/2007	Less than 5%	Y	N	26-0153407
CANTOR FITZGERALD SECURITIES	Domestic Entity	LIMITED PARTNER	05/2007	50% but less than 75%	Y	N	13-3680187
ANZALONE, THOMAS JOSEPH	Individual	CHIEF OPERATIONS OFFICER/PRINCIPAL OPERATIONS OFFICER	06/2007	Less than 5%	N	N	<a href="#">1161513</a>
BOEHMKE, BENJAMIN PATRICK	Individual	CHIEF COMPLIANCE OFFICER	05/2021	Less than 5%	Y	N	<a href="#">4923172</a>

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