

**BrokerTec Americas LLC**

**Information Relating to Possession or**

**Control Requirements Pursuant to SEC Rule 15c3-3**

**March 31, 2017**

**Supplemental Schedule IV**

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1. Customers' fully paid securities and excess margin securities not in the respondent's possession or control as of the report date (for which instructions to reduce to possession or control had been issued as of the report date but for which the required action was not taken by respondent within the time frames specified under Rule 15c3-3):
  - (a) Number of items - None
  - (b) Market value - None
2. Customers' fully paid securities and excess margin securities for which instructions to reduce to possession or control had not been issued as of the report date, excluding items arising from temporary lags which result from normal business operations as permitted under Rule 15c3-3:
  - (a) Number of items - None
  - (b) Market value - None