

BrokerTec Americas LLC
Statement of Financial Condition
March 31, 2017

(dollars in thousands)

Assets

Cash and cash equivalents	\$ 89,128
Cash segregated under federal regulations	35,300
Deposits with clearing organizations	92,243
Commissions receivable	12,257
Receivable from brokers, dealers and clearing organizations	505
Prepaid expenses and other assets	<u>2,363</u>
Total assets	\$ 231,796

Liabilities and Member's Equity

Liabilities

Accrued expenses and other liabilities	\$ 11,980
Payable to brokers, dealers and clearing organizations	1,084
Payable to customers	32,050
Payable to affiliates	26,993
Income tax payable to affiliate	<u>18,665</u>
Total liabilities	<u>90,773</u>

Commitments and contingencies (Note 7)

Member's equity	<u>141,023</u>
Total liabilities and member's equity	\$ 231,796