

# Simmons First Investment Group, Inc.

## Statements of Financial Condition

December 31, 2016 and 2015

	2016	2015
<b>Assets</b>		
Cash	\$ 223,899	\$ 269,719
Deposits with clearing organization	2,900,517	2,275,815
Securities owned at market value	--	4,397,770
Premises and equipment, net	236,947	60,096
Receivable from clearing organization	182,556	202,731
Customer Relationship Intangible net	1,665,333	1,836,167
Goodwill	1,885,428	1,885,428
Other Assets	58,545	104,441
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<b>TOTAL ASSETS</b>	<b>\$ 7,153,226</b>	<b>\$ 11,032,167</b>
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<b>Liabilities</b>		
Payable to clearing organization	\$ --	\$ 1,183
Accounts payable and accrued expenses	236,259	128,231
Income tax liability	121,769	33,187
Deferred tax liability	652,727	731,142
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<b>Total Liabilities</b>	<b>1,010,755</b>	<b>893,743</b>
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<b>Stockholder's Equity</b>		
Common stock; \$1 par value; 10,000 shares Authorized; 5,000 shares issued and outstanding	5,000	5,000
Additional paid in capital	6,216,948	9,795,000
Retained earnings (deficit)	(79,476)	338,424
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Total stockholder's equity	6,142,472	10,138,424
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<b>TOTAL LIABILITIES AND STOCKHOLDERS EQUITY</b>	<b>\$ 7,153,226</b>	<b>\$ 11,032,167</b>
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