



February 25, 2016

Siegrist, Cree, Alessandri & Strauss, CPAs, P.C.  
20 Walnut Street  
Wellesley Hills, MA 02481

Re: Essex Securities, LLC

Dear Mr. Alessandri:

This statement is to notify you that, to the best knowledge and belief of Essex Securities LLC, our firm claims an exemption under 17 CFR 240.15c3-3(k)(2)(ii).

Pursuant to 17 CFR 240.15c3-3(k)(2)(ii), Essex Securities, LLC is an introducing broker/dealer which clears all transactions with and for customers on a fully disclosed basis with a clearing broker or dealer, and promptly transmits all customer funds and securities to the clearing broker or dealer which carries all of the accounts of such customers and maintains and preserves such books and records pertaining thereto pursuant to the requirements of Sections 240.17a-3 and 240.17a-4 of Chapter [17] as are customarily made and kept by a clearing broker or dealer.

Essex Securities, LLC has met the exemption provisions of 17 CFR 240.15c3-3(k)(2)(ii) throughout the most recent fiscal year without exception.

Sincerely,

Danilo Gomez  
Compliance Officer

**Securities Offered Through Essex Securities – Member FINRA/SIPC/MSRB**  
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