



Client Focused Trading

MANAGEMENT STATEMENT REGARDING COMPLIANCE
WITH EXEMPTION PROVISIONS OF SEC RULE 15c3-3

We, as the management of CFT Securities, LLC (the "Company"), are responsible for the Company's compliance with the exemption provisions of Rule 15c3-3 under the Securities Exchange Act of 1934 ("SEC Rule 15c3-3"). The following statements are made to the best of our knowledge and belief:

- (1.) the Company claims an exemption from the provisions of SEC Rule 15c3-3 under paragraph (k) (2) (ii), and
- (2.) for the reporting period January 1, 2016 through December 31, 2016, the Company has met the identified exemption provision without exception.

CFT Securities, LLC

A handwritten signature in blue ink, appearing to read "John T. Ryan", written over a horizontal line.

John T. Ryan
President and Chief Executive Officer
CFT Securities, LLC

A handwritten signature in blue ink, appearing to read "Peter J. Krzystek", written over a horizontal line.

Peter J. Krzystek
Chief Financial Officer
CFT Securities, LLC