

Wedbush Securities Inc.
Statement of Segregated Requirements and Funds in Segregation
For Customers Trading U.S Commodity Exchanges
June 30, 2016

Schedule 4

Segregation requirement	
Net ledger balance	
Cash	\$ 777,646,000
Securities (at market)	383,968,000
Net unrealized profit (loss) in open futures contracts traded on a contract market	16,522,000
Exchange traded options	
Market value of open option contracts purchased on a contract market	374,283,000
Market value of open option contracts granted (sold) on a contract market	(390,508,000)
Net equity	1,161,911,000
Accounts liquidating to a deficit and accounts with debit balances with no open trades	952,000
Amount required to be segregated	1,162,863,000
Funds in segregated accounts	
Deposited in segregated funds bank accounts	
Cash	363,257,000
Securities representing investments of customers' funds (at market)	-
Securities held for particular customers or option customers in lieu of cash (at market)	398,000
Margins on deposit with derivatives clearing organizations of contract markets	
Cash	259,284,000
Securities representing investments of customers' funds (at market)	191,337,000
Securities held for particular customers or option customers in lieu of cash (at market)	379,792,000
Net settlement due to clearing organizations of contract markets	1,885,000
Exchange traded options	
Value of open long option contracts	374,283,000
Value of open short option contracts	(390,508,000)
Segregated funds on hand	3,777,000
Total amount in segregation	1,183,505,000
Excess funds in segregation	20,642,000
Management target amount for excess funds in segregation	13,000,000
Excess funds in segregation over management target amount	\$ 7,642,000

Note: A reconciliation between the above computation and the Company's corresponding amended unaudited Form X-17A-5, Part II, as of June 30, 2016, filed on September 7, 2016, is not required as no material differences exist.

Wedbush Securities Inc.
Statement of Secured Amounts and Funds Held in Separate Accounts for Foreign
Futures and Foreign Options Customers Pursuant to Commission Regulation 30.7
June 30, 2016

Schedule 5

Foreign futures and foreign options secured amounts	
Amount required to be set aside in separate Section 30.7 accounts:	
Funds on deposit in separate Section 30.7 accounts	
Cash in banks	\$ 38,059,000
Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade	(1,077,000)
Net equity	36,982,000
Accounts liquidating to a deficit and accounts with debit balances - gross amount	4,000
Amount required to be set aside as the secured amount - Net Liquidating Equity Method	36,986,000
Greater of amount required to be set aside pursuant to foreign jurisdiction	\$ 36,986,000
Funds deposited in separate regulation 30.7 Accounts	
Cash in banks	\$ 29,383,000
Securities in safekeeping with banks	-
Equities with registered futures commission merchants	-
Cash	-
Unrealized gain (loss) on open futures contracts	-
Amounts held by clearing organizations of foreign boards of trade	
Cash	7,491,000
Amount due to (from) clearing organization - daily variation	37,000
Amounts held by members of foreign boards of trade	
Cash	2,510,000
Unrealized gain (loss) on open futures contracts	305,000
Total funds in separate section 30.7 accounts	39,726,000
Excess set aside for secured amount	2,740,000
Management target amount for excess funds in separate section 30.7 accounts	1,000,000
Excess funds in separate section 30.7 accounts over management target amount	\$ 1,740,000

Note: A reconciliation between the above computation and the Company's corresponding amended unaudited Form X-17A-5, Part II, as of June 30, 2016, filed on September 7, 2016, is not required as no material differences exist.