

STATEMENT OF FINANCIAL CONDITION

As of September 30

(expressed in U.S. dollars)

2020

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ASSETS

Cash [note 5]	4,808,750
Cash segregated under federal and other regulations [note 5]	53,329,971
Accounts receivable	36,650
Prepaid expenses	28,093
Income taxes recoverable [note 10]	230,507
Due from customers [note 7]	682,698
Due from brokers/dealers [note 9]	4,109
Deposits and amounts receivable from clearing broker [note 6]	116,069
Due from Haywood Securities Inc.	156,135
Total assets	59,392,982

LIABILITIES AND STOCKHOLDER'S EQUITY

Accounts payable and accrued liabilities	313,056
Due to customers [note 7]	53,127,424
Due to brokers/dealers [notes 7, 9]	686,437
Deferred tax liabilities [note 10]	27,148
Total liabilities	54,154,065
Contingencies and commitments [note 11]	

Stockholder's equity

Common stock [note 8]	100
Retained earnings	5,238,817
Total stockholder's equity	5,238,917
Total liabilities and stockholder's equity	59,392,982

See accompanying notes



Director

