

STATEMENT OF FINANCIAL CONDITION

As of September 30

(expressed in U.S. dollars)

	2019 \$
ASSETS	
Cash [note 6]	3,629,137
Cash segregated under federal and other regulations [note 6]	27,039,440
Accounts receivable	67,020
Prepaid expenses	35,624
Due from customers [note 8]	13,217
Deposits and amounts receivable from clearing broker [note 7]	54,294
Total assets	30,838,732
LIABILITIES AND STOCKHOLDER'S EQUITY	
Accounts payable and accrued liabilities	319,267
Income tax payable [note 11]	127,137
Due to customers [note 8]	23,811,858
Due to brokers/dealers [note 8]	13,217
Due to Haywood Securities Inc., without interest or stated terms of repayment [note 10]	1,558,134
Deferred tax liabilities [note 11]	8,437
Total liabilities	25,838,050
Contingencies and commitments [note 12]	
Stockholder's equity	
Common stock [note 9]	100
Retained earnings	5,000,582
Total stockholder's equity	5,000,682
Total liabilities and stockholder's equity	30,838,732

See accompanying notes



Director

