

STATEMENT OF FINANCIAL CONDITION

As of September 30

(expressed in U.S. dollars)

2018

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ASSETS

Cash	3,279,655
Cash segregated under federal and other regulations [note 6]	4,925,647
Accounts receivable	12,954
Prepaid expenses	17,991
Due from customers	568
Due from brokers/dealers [note 10]	12,226
Deposits and amounts receivable from clearing broker [note 7]	55,289
Due from Haywood Securities Inc.	70,180
Total assets	8,374,510

LIABILITIES AND STOCKHOLDER'S EQUITY

Accounts payable and accrued liabilities	119,203
Income tax payable [note 11]	157,778
Due to customers	3,863,017
Deferred tax liabilities [note 11]	8,437
Total liabilities	4,148,435
Contingencies and commitments [note 12]	

Stockholder's equity

Common stock [note 9]	100
Retained earnings	4,225,975
Total stockholder's equity	4,226,075
Total liabilities and stockholder's equity	8,374,510

See accompanying notes



Director

