

STATEMENT OF FINANCIAL CONDITION

As of September 30

(expressed in U.S. dollars)

2017

\$

ASSETS

Cash	2,823,558
Cash segregated under federal and other regulations <i>[note 6]</i>	1,475,752
Accounts receivable	4,703
Prepaid expenses	18,735
Income taxes recoverable <i>[note 10]</i>	96,763
Due from brokers/dealers <i>[note 9]</i>	11,162
Deposits and amounts receivable from clearing broker <i>[note 7]</i>	59,240
Due from Haywood Securities Inc.	31,241
Total assets	4,521,154

LIABILITIES AND STOCKHOLDER'S EQUITY

Accounts payable and accrued liabilities	111,306
Due to customers	531,532
Deferred tax liabilities <i>[note 10]</i>	6,254
Total liabilities	649,092
Contingencies and commitments <i>[note 11]</i>	

Stockholder's equity

Common stock <i>[note 8]</i>	100
Retained earnings	3,871,962
Total stockholder's equity	3,872,062
Total liabilities and stockholder's equity	4,521,154

See accompanying notes



Director

