

# SEABURY

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## Schedule III - Exemption from filing Compliance Report Required by SEC Rule 15c3-3

The Company is exempt from the compliance reporting requirement of SEC Rule 15c3-3 as it was in compliance with the exemptive provisions of paragraph (k)(2)(i) of SEC Rule 15c3-3 at December 31, 2015, and operated in compliance without exception for the fiscal year then ended.

  
\_\_\_\_\_  
Signature

2/26/2016  
\_\_\_\_\_  
Date

John Luth  
Print Name