



CPAs & BUSINESS ADVISORS

INDEPENDENT ACCOUNTANTS' REPORT ON APPLYING AGREED-UPON PROCEDURES RELATED TO AN
ENTITY'S SIPC ASSESSMENT RECONCILIATION

To the Board of Directors and Stockholder of
ACTINVER SECURITIES, INC.
Houston, Texas

In accordance with Rule 17a-5(e)(4) under the Securities Exchange Act of 1934, we have performed the procedures enumerated below with respect to the accompanying Schedule of Assessment and Payments [General Assessment Reconciliation (Form SIPC-7)] to the Securities Investor Protection Corporation (SIPC) for the year ended December 31, 2015, which were agreed to by ACTINVER SECURITIES, INC. ("the "Company") and the Securities and Exchange Commission, Financial Industry Regulatory Authority, Inc., and SIPC, solely to assist you and the other specified parties in evaluating the Company's compliance with the applicable instructions of the General Assessment Reconciliation (Form SIPC-7). Actinver Securities, Inc.'s management is responsible for the Company's compliance with those requirements. This agreed-upon procedures engagement was conducted in accordance with attestation standards established by the American Institute of Certified Public Accountants. The sufficiency of these procedures is solely the responsibility of those parties specified in this report. Consequently, we make no representation regarding the sufficiency of the procedures described below either for the purpose for which this report has been requested or for any other purpose. The procedures we performed and our findings are as follows:

1. Compared the listed assessment payments in Form SIPC-7 with respective cash disbursements record entries and check copies noting no differences;
2. Reconciled the amounts reported on the audited Form X-17A-5 for the year ended December 31, 2015 with the amounts reported in Form SIPC-7 for the year ended December 31, 2015 noting no differences.
3. Compared any adjustments reported in Form SIPC-7 with supporting schedules and working papers noting no differences; and
4. Proved the arithmetical accuracy of the calculations reflected in Form SIPC-7 and in the related schedules and working papers supporting the adjustments noting no differences.

We were not engaged to, and did not conduct an examination, the objective of which would be the expression of an opinion on compliance. Accordingly, we do not express such an opinion. Had we performed additional procedures, other matters might have come to our attention that would have been reported to you.

This report is intended solely for the information and use of the specified parties listed above and is not intended to be and should not be used by anyone other than these specified parties.

EEP B, P.C.

Houston, Texas
February 26, 2016

SIPC-7

MEMBER INFORMATION CORPORATION

General Assessment Reconciliation

SIPC-7

TO BE FILLED BY ALL SIPC MEMBERS WITH FISCAL YEAR ENDINGS

2020 FINRA DEC
ACTIVER SECURITIES INC
5075 WESTHEBER RD STE 600
HOUSTON TX 77056-6691

Name and telephone number of person to contact re this form

Name and telephone number of person to contact re this form

Evan Gabe (713) 885-9843

- A. Total Assessments paid to date
- B. Less: amount paid to date that exclude into est

9,900
4,738

Date Paid

- C. Less: prior overpayment applied
- D. Current total balance due to date

\$5,162

- E. Total amount of late payment fee included in D. at 20% per annum
- F. Total amount of interest included in D. at 20% per annum

5,162

- G. Total amount of late payment fee included in F. at 20% per annum
- H. Total amount of interest included in F. at 20% per annum

5,162

MEMBER INFORMATION CORPORATION
5075 WESTHEBER ROAD, SUITE 600
HOUSTON, TEXAS 77056-6691

Activer Securities, Inc
[Signature]
Chief Compliance Officer

9 February 16

This form and the assessment payment to due 45 days after the end of the fiscal year. Retain the Working Copy of this form for a period of not less than 5 years or the latest 2 years in an evenly accessible place.

SIPC REVIEWER

