

FORM SBSE-A Page 1 (Execution Page)	Application for Registration as a Security-based Swap Dealer and Major Security-based Swap Participant that is Registered or Registering with the CFTC as a Swap Dealer or Major Swap Participant	Official Use	Official Use Only								
Date: _____ Applicant NFA Number: _____											
WARNING: Failure to keep this form current and to file accurate supplementary information on a timely basis, or the failure to keep accurate books and records or otherwise to comply with the provisions of law applying to the conduct of business as an SBS Entity, would violate the Federal securities laws and the laws of the <i>jurisdictions</i> and may result in disciplinary, administrative, injunctive or criminal action.											
INTENTIONAL MISSTATEMENTS OR OMISSIONS OF FACTS MAY CONSTITUTE FEDERAL CRIMINAL VIOLATIONS. <small>See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a)</small>											
<input type="checkbox"/> APPLICATION <input checked="" type="checkbox"/> AMENDMENT											
1. Exact name, principal business address, mailing address, if different, and telephone number of the <i>applicant</i> :											
A. Full name of the <i>applicant</i> : <div style="border: 1px solid black; padding: 2px;">Citigroup Global Markets Ltd</div>											
B. IRS Empl. Ident. No.: <div style="border: 1px solid black; padding: 2px;">0000000</div>											
<table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 33%; border-bottom: 1px solid black;">C. Applicant's NFA ID #: 291281</td> <td style="width: 33%; border-bottom: 1px solid black;">Applicant's CIK # (if any): 0001012467</td> <td style="width: 33%; border-bottom: 1px solid black;">Applicant's UIC # (if any): XKZZ2JZF41MRHTR1V493</td> </tr> </table>				C. Applicant's NFA ID #: 291281	Applicant's CIK # (if any): 0001012467	Applicant's UIC # (if any): XKZZ2JZF41MRHTR1V493					
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D. <i>Applicant's</i> Main Address: (Do not use a P.O. Box) <table style="width: 100%; border-collapse: collapse;"> <tr> <td colspan="2" style="border-bottom: 1px solid black;">Number and Street 1: CITIGROUP CENTRE, CANADA SQUARE</td> <td colspan="2" style="border-bottom: 1px solid black;">Number and Street 2: CANARY WHARF</td> </tr> <tr> <td style="border-bottom: 1px solid black;">City: London</td> <td style="border-bottom: 1px solid black;">State:</td> <td style="border-bottom: 1px solid black;">Country: England, UK</td> <td style="border-bottom: 1px solid black;">Zip/Postal Code: E14 5LB</td> </tr> </table>				Number and Street 1: CITIGROUP CENTRE, CANADA SQUARE		Number and Street 2: CANARY WHARF		City: London	State:	Country: England, UK	Zip/Postal Code: E14 5LB
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City: London	State:	Country: England, UK	Zip/Postal Code: E14 5LB								
E. Mailing Address, if different: <table style="width: 100%; border-collapse: collapse;"> <tr> <td colspan="2" style="border-bottom: 1px solid black;">Number and Street 1:</td> <td colspan="2" style="border-bottom: 1px solid black;">Number and Street 2:</td> </tr> <tr> <td style="border-bottom: 1px solid black;">City:</td> <td style="border-bottom: 1px solid black;">State:</td> <td style="border-bottom: 1px solid black;">Country:</td> <td style="border-bottom: 1px solid black;">Zip/Postal Code:</td> </tr> </table>				Number and Street 1:		Number and Street 2:		City:	State:	Country:	Zip/Postal Code:
Number and Street 1:		Number and Street 2:									
City:	State:	Country:	Zip/Postal Code:								
F. Business Telephone Number: <div style="border: 1px solid black; width: 300px; height: 20px;"></div>											
G. Website/URL: <div style="border: 1px solid black; width: 300px; height: 20px;"></div>											
H. Contact Employee: <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; border-bottom: 1px solid black;">Name: Michael McClain</td> <td style="width: 50%; border-bottom: 1px solid black;">Title: SVP, Compliance Product Director</td> </tr> <tr> <td style="border-bottom: 1px solid black;">Telephone Number: 447167306178</td> <td style="border-bottom: 1px solid black;">Email Address: michael.mcclain@citi.com</td> </tr> </table>				Name: Michael McClain	Title: SVP, Compliance Product Director	Telephone Number: 447167306178	Email Address: michael.mcclain@citi.com				
Name: Michael McClain	Title: SVP, Compliance Product Director										
Telephone Number: 447167306178	Email Address: michael.mcclain@citi.com										
I. Chief Compliance Officer designated by the <i>applicant</i> in accordance with Exchange Act Section 15F(k): <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; border-bottom: 1px solid black;">Name: David Flowerday</td> <td style="width: 50%; border-bottom: 1px solid black;">Title: Chief Compliance Officer</td> </tr> <tr> <td style="border-bottom: 1px solid black;">Telephone Number: 442075086939</td> <td style="border-bottom: 1px solid black;">Email Address: david.flowerday@citi.com</td> </tr> </table>				Name: David Flowerday	Title: Chief Compliance Officer	Telephone Number: 442075086939	Email Address: david.flowerday@citi.com				
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Telephone Number: 442075086939	Email Address: david.flowerday@citi.com										
EXECUTION: <p style="font-size: small;">The applicant consents that service of any civil action brought by or notice of any proceeding before the Securities and Exchange Commission in connection with the applicant's security-based swap activities, unless the applicant is a nonresident SBS Entity, may be given by registered or certified mail or confirmed telegram to the applicant's contact employee at the main address, or mailing address if different, given in Items 1E and 1F. If the applicant is a nonresident SBS Entity, it must complete Schedule F to designate a U.S. agent for service of process.</p> <p style="font-size: small;">The undersigned certifies that he/she has executed this form on behalf of, and with the authority of, said applicant. The undersigned and applicant represent that the information and statements contained herein, including schedules attached hereto, and other information filed herewith are current, true and complete. The undersigned and applicant further represent that to the extent any information previously submitted is not amended such information is currently accurate and complete.</p> <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 40%; border-bottom: 1px solid black;"></td> <td style="width: 60%; border-bottom: 1px solid black;">Citigroup Global Markets Ltd</td> </tr> <tr> <td style="font-size: small;">Date (MM/DD/YYYY)</td> <td style="font-size: small;">Name of Applicant</td> </tr> <tr> <td style="border-bottom: 1px solid black;">By: David Flowerday</td> <td style="border-bottom: 1px solid black;">David Flowerday, Chief Compliance Officer</td> </tr> <tr> <td style="font-size: small;">Signature <i>David Flowerday</i></td> <td style="font-size: small;">Name and Title of Person Signing on <i>Applicant's</i> behalf</td> </tr> </table>					Citigroup Global Markets Ltd	Date (MM/DD/YYYY)	Name of Applicant	By: David Flowerday	David Flowerday, Chief Compliance Officer	Signature <i>David Flowerday</i>	Name and Title of Person Signing on <i>Applicant's</i> behalf
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<i>This page must always be completed in full.</i>											
DO NOT WRITE BELOW THIS LINE – FOR OFFICIAL USE ONLY											