

FORM SBSE-A Page 1 (Execution Page)	Application for Registration as a Security-based Swap Dealer and Major Security-based Swap Participant that is Registered or Registering with the CFTC as a Swap Dealer or Major Swap Participant Date: _____ Applicant NFA Number: _____	Official Use Official Use Only
WARNING: Failure to keep this form current and to file accurate supplementary information on a timely basis, or the failure to keep accurate books and records or otherwise to comply with the provisions of law applying to the conduct of business as an SBS Entity, would violate the Federal securities laws and the laws of the <i>jurisdictions</i> and may result in disciplinary, administrative, injunctive or criminal action.		
INTENTIONAL MISSTATEMENTS OR OMISSIONS OF FACTS MAY CONSTITUTE FEDERAL CRIMINAL VIOLATIONS. <small>See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a)</small>		
<input type="checkbox"/> APPLICATION <input checked="" type="checkbox"/> AMENDMENT		
1. Exact name, principal business address, mailing address, if different, and telephone number of the <i>applicant</i> :		
A. Full name of the <i>applicant</i> : <div style="border: 1px solid black; padding: 2px;">Citigroup Global Markets Ltd</div>		
B. IRS Empl. Ident. No.: <div style="border: 1px solid black; padding: 2px;">0000000</div>		
C. Applicant's NFA ID #: <div style="border: 1px solid black; padding: 2px;">291281</div> Applicant's CIK # (if any): <div style="border: 1px solid black; padding: 2px;">0001012467</div> Applicant's UIC # (if any): <div style="border: 1px solid black; padding: 2px;">XKZZ2JZF41MRHTR1V493</div>		
D. <i>Applicant's</i> Main Address: (Do not use a P.O. Box) <div style="display: flex; justify-content: space-between;"> <div style="width: 48%;"> Number and Street 1: <div style="border: 1px solid black; padding: 2px;">CITIGROUP CENTRE, CANADA SQUARE</div> City: <div style="border: 1px solid black; padding: 2px;">London</div> </div> <div style="width: 48%;"> Number and Street 2: <div style="border: 1px solid black; padding: 2px;">CANARY WHARF</div> Country: <div style="border: 1px solid black; padding: 2px;">England, UK</div> </div> </div> <div style="display: flex; justify-content: space-between; margin-top: 5px;"> <div style="width: 48%;"> State: <div style="border: 1px solid black; padding: 2px;"></div> </div> <div style="width: 48%;"> Zip/Postal Code: <div style="border: 1px solid black; padding: 2px;">E14 5LB</div> </div> </div>		
E. Mailing Address, if different: <div style="display: flex; justify-content: space-between;"> <div style="width: 48%;"> Number and Street 1: <div style="border: 1px solid black; padding: 2px;"></div> City: <div style="border: 1px solid black; padding: 2px;"></div> </div> <div style="width: 48%;"> Number and Street 2: <div style="border: 1px solid black; padding: 2px;"></div> Country: <div style="border: 1px solid black; padding: 2px;"></div> </div> </div> <div style="display: flex; justify-content: space-between; margin-top: 5px;"> <div style="width: 48%;"> State: <div style="border: 1px solid black; padding: 2px;"></div> </div> <div style="width: 48%;"> Zip/Postal Code: <div style="border: 1px solid black; padding: 2px;"></div> </div> </div>		
F. Business Telephone Number: <div style="border: 1px solid black; padding: 2px;"></div> G. Website/URL: <div style="border: 1px solid black; padding: 2px;"></div>		
H. Contact Employee: <div style="display: flex; justify-content: space-between;"> <div style="width: 48%;"> Name: <div style="border: 1px solid black; padding: 2px;">Michael McClain</div> Telephone Number: <div style="border: 1px solid black; padding: 2px;">447167306178</div> </div> <div style="width: 48%;"> Title: <div style="border: 1px solid black; padding: 2px;">SVP, Compliance Product Director</div> Email Address: <div style="border: 1px solid black; padding: 2px;">michael.mcclain@citi.com</div> </div> </div>		
I. Chief Compliance Officer designated by the <i>applicant</i> in accordance with Exchange Act Section 15F(k): <div style="display: flex; justify-content: space-between;"> <div style="width: 48%;"> Name: <div style="border: 1px solid black; padding: 2px;">David Flowerday</div> Telephone Number: <div style="border: 1px solid black; padding: 2px;">442075086939</div> </div> <div style="width: 48%;"> Title: <div style="border: 1px solid black; padding: 2px;">Chief Compliance Officer</div> Email Address: <div style="border: 1px solid black; padding: 2px;">david.flowerday@citi.com</div> </div> </div>		
EXECUTION: <p>The applicant consents that service of any civil action brought by or notice of any proceeding before the Securities and Exchange Commission in connection with the applicant's security-based swap activities, unless the applicant is a nonresident SBS Entity, may be given by registered or certified mail or confirmed telegram to the applicant's contact employee at the main address, or mailing address if different, given in Items 1E and 1F. If the applicant is a nonresident SBS Entity, it must complete Schedule F to designate a U.S. agent for service of process.</p> <p>The undersigned certifies that he/she has executed this form on behalf of, and with the authority of, said applicant. The undersigned and applicant represent that the information and statements contained herein, including schedules attached hereto, and other information filed herewith are current, true and complete. The undersigned and applicant further represent that to the extent any information previously submitted is not amended such information is currently accurate and complete.</p> <div style="display: flex; justify-content: space-between; margin-top: 10px;"> <div style="width: 45%;"> <div style="border: 1px solid black; padding: 2px; margin-bottom: 5px;"></div> Date (MM/DD/YYYY) By: <div style="border: 1px solid black; padding: 2px; display: inline-block; text-align: center;"> </div> Signature </div> <div style="width: 50%;"> <div style="border: 1px solid black; padding: 2px; margin-bottom: 5px;">Citigroup Global Markets Ltd</div> Name of Applicant <div style="border: 1px solid black; padding: 2px; margin-bottom: 5px;">David Flowerday, Chief Compliance Officer</div> Name and Title of Person Signing on <i>Applicant's</i> behalf </div> </div>		
This page must always be completed in full.		
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