

TEMPER OF THE TIMES INVESTOR SERVICES, INC.

STATEMENT OF FINANCIAL CONDITION

December 31, 2020

ASSETS

Cash and cash equivalents	\$ 344,846
Cash segregated under federal and other regulations (note 2)	405,051
Securities inventory, in process of delivery to customers	337
Securities inventory, at fair value (note 4)	2,281
Investment in Depository Trust Clearing Corporation	33,182
DTCC Deposit	10,000
Prepaid expenses	14,296
Total assets	<u>\$ 809,993</u>

LIABILITIES AND STOCKHOLDERS' EQUITY

Liabilities:

Payables to customers	\$ 27,005
Accounts payable and accrued expenses	9,500
Subordinated loan payable, related entity (note 9)	200,000
Total liabilities	<u>236,505</u>

Stockholders' equity:

Common stock, \$0.10 par value; 1,200,000 shares authorized, 1,066,400 issued and outstanding	106,640
Additional paid in capital	30,050
Retained earnings	436,798
Total stockholders' equity	<u>573,488</u>

Total liabilities and stockholders' equity	<u>\$ 809,993</u>
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