

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL  
OMB Number: 3235-0123  
Expires: April 30, 2021  
Estimated average burden  
hours per response....12.00

**ANNUAL AUDITED REPORT  
FORM X-17A-5  
PART 111**

SEC FILE NUMBER

8- **48754**

**FACING PAGE**

**Information Required of Brokers and Dealers Pursuant to Section 17 of the  
Securities Exchange Act of 1934 and Rule 17a-5 Thereunder**

REPORT FOR THE PERIOD BEGINNING 01/01/20 AND ENDING 12/31/20  
MM/DD/YY MM/DD/YY

**A. REGISTRANT IDENTIFICATION**

NAME OF BROKER-DEALER:

**Stonecrest Capital Markets, Inc.**

ADDRESS OF PRINCIPAL PLACE OF BUSINESS: (Do not use P.O. Box No.)

**300 W. 6<sup>th</sup> Street, Suite 1520**

OFFICIAL USE ONLY

FIRM I.D. NO.

**Austin** (No. and Street) **TX** **78701**  
(City) (State) (Zip Code)

NAME AND TELEPHONE NUMBER OF PERSON TO CONTACT IN REGARD TO THIS REPORT

**Brent Hippert**

**(704) 375-2966**

(Area Code - Telephone Number)

**B. ACCOUNTANT IDENTIFICATION**

INDEPENDENT PUBLIC ACCOUNTANT whose opinion is contained in the Report\*

**Rubio CPA, PC**

(Name - if individual, state last, first, middle name)

**2727 Paces Ferry Rd SE, Ste 2-1680** **Atlanta** **Georgia** **30339**  
(Address) (City) (State) (Zip Code)

CHECK ONE:

- ☒ Certified Public Accountant  
☐ Public Accountant  
☐ Accountant not resident in United States or any of its possessions.

FOR OFFICIAL USE ONLY

\*Claims for exemption from the requirement that the annual report be covered by the opinion of an independent public accountant must be supported by a statement of facts and circumstances relied on as the basis for the exemption. See Section 240.17a-5(e)(2)

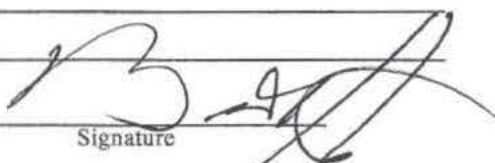
SEC 1410 (06-02)

Potential persons who are to respond to the collection of  
information contained in this form are not required to respond  
unless the form displays a currently valid OMB control number.

## OATH OR AFFIRMATION

I, Brent Hippert, swear (or affirm) that, to the best of my knowledge and belief the accompanying financial statement and supporting schedules pertaining to the firm of Stonecrest Capital Markets, Inc., as of December 31, 2020, are true and correct. I further swear (or affirm) that neither the company nor any partner, proprietor, principal officer or director has any proprietary interest in any account classified solely as that of a customer, except as follows:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

  
Signature

CFO  
Title

  
Notary Public



This report \*\* contains (check all applicable boxes):

- ☒ (a) Facing Page.
- ☒ (b) Statement of Financial Condition.
- ☒ (c) Statement of Income (Loss).
- ☐ (d) Statement of Changes in Financial Condition.
- ☒ (e) Statement of Changes in Stockholders' Equity or Partners' or Sole Proprietors' Capital.
- ☒ (f) Statement of Changes in Liabilities Subordinated to Claims of Creditors.
- ☒ (g) Computation of Net Capital.
- ☐ (h) Computation for Determination of Reserve Requirements Pursuant to Rule 15c3-3.
- ☐ (i) Information Relating to the Possession or Control Requirements Under Rule 15c3-3.
- ☐ (j) A Reconciliation, including appropriate explanation of the Computation of Net Capital Under Rule 15c3-3 and the Computation for Determination of the Reserve Requirements Under Exhibit A of Rule 15c3-3.
- ☐ (k) A Reconciliation between the audited and unaudited Statements of Financial Condition with respect to methods of consolidation.
- ☒ (l) An Oath or Affirmation.
- ☐ (m) A copy of the SIPC Supplemental Report.
- ☐ (n) A report describing any material inadequacies found to exist or found to have existed since the date of the previous audit.

**\*\*For conditions of confidential treatment of certain portions of this filing, see section 240.17z-5(e)(3).**

**Stonecrest Capital Markets, Inc.**  
**Statement of Financial Condition**  
**December 31, 2020**

ASSETS

Cash	\$ 1,832,256
Due from clearing broker	735,203
Deposit with clearing broker	100,000
Accounts Receivable	72,500
Advances to brokers - net of loss reserve of \$21,169	1,253,972
Securities pledged as collateral	250,000
Right of use Asset	767,537
Due from related party	67,477
Prepaid expenses	60,320
Furniture and equipment, net of accumulated depreciation of \$13,704	29,789
Deferred Tax Asset	10,596
Other Assets	34,136
<b>Total Assets</b>	<b><u><u>\$ 5,213,786</u></u></b>

LIABILITIES AND STOCKHOLDER'S EQUITY

LIABILITIES:

Accounts payable and accrued expenses	\$ 106,496
Commissions payable	609,313
Accrued compensation	315,441
Lease liability	767,537
Due to related parties	57,645
Liabilities subordinated to claims of general creditors	250,000
Income Taxes Payable	34,811
<b>Total Liabilities</b>	<b><u><u>2,141,243</u></u></b>

STOCKHOLDER'S EQUITY:

Common stock - \$.01 par value; 100,000 shares authorized	
1,200 shares issued and outstanding	12
Series A preferred stock	2,100,000
Additional paid-in capital	756,728
Retained Earnings	215,803
<b>Total Stockholder's Equity</b>	<b><u><u>3,072,543</u></u></b>
<b>Total Liabilities and Stockholder's Equity</b>	<b><u><u>\$ 5,213,786</u></u></b>