

UNITED STATES OF AMERICA

Before the

SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934

Release No. 99929 / April 9, 2024

WHISTLEBLOWER AWARD PROCEEDING

File No. 2024-15

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In the Matter of the Claim for Award

in connection with

Redacted

Notice of Covered Action <sup>Redacted</sup>

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**ORDER DETERMINING WHISTLEBLOWER AWARD CLAIM**

The Claims Review Staff (“CRS”) issued a Preliminary Determination recommending that <sup>Redacted</sup> (“Claimant”) receive a whistleblower award equal to thirty percent (30%) of the amount collected, or to be collected,<sup>1</sup> in the above-referenced Covered Action (“Covered Action”). The recommendation of the CRS is adopted. The record demonstrates that Claimant voluntarily provided original information to the Commission and that this original information led to the successful enforcement of the Covered Action.<sup>2</sup>

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<sup>1</sup> The Commission will treat the amounts distributed to harmed investors pursuant to future court orders, if any, as monetary sanctions collected under Rules 21F-4(e) and 21F-14(b). *See* 17 C.F.R. §§ 240.21F-4(e) and 240.21F-14(b).

<sup>2</sup> *See* Exchange Act Section 21F(b)(1), 15 U.S.C. § 78u-6(b)(1); Exchange Act Rule 21F-3, 17 C.F.R. § 240.21F-3.

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In reaching this determination, the Commission considered that Claimant provided new information that significantly contributed to the success of the Covered Action; Claimant's ongoing assistance during the investigation, which included multiple calls and emails, expedited the staff's investigation; and the charges in the Covered Action were based, in part, on Claimant's information.

Accordingly, it is hereby ORDERED that Claimant shall receive an award of thirty percent (30%) of the monetary sanctions collected or to be collected in the Covered Action.

By the Commission.

Vanessa A. Countryman  
Secretary

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