

sec news digest

Issue 93-47

March 12, 1993

CIVIL PROCEEDINGS

U.S. SEC.

EXCHANGE ACT

TRO ENTERED AGAINST SOUTHWEST INTERNATIONAL EXCHANGE AND WILLIAM TELANDER

On March 5, U.S. District Judge A. Andrew Hauk entered a Temporary Restraining Order Freezing Assets; Prohibiting Transfer of Assets and Destruction of Documents; and Granting Expedited Discovery against Southwest International Exchange (SIE) and William L. Telander (Telander).

The complaint alleges that SIE, Telander and Roger C. Briggs (Briggs), violated the registration and antifraud provisions of the Securities Act and Exchange Act in their fraudulent offer and sale of unregistered securities purporting to be limited partnership interests in a foreign currency exchange program. The defendants raised approximately \$7.8 million from about 380 investors nationwide. The complaint further alleges that 90% of investors' funds were to remain in a risk-free account at Union Bank of Switzerland in Zurich and were guaranteed to be returned to investors upon 30 days written notice. Investors requested the return of their funds in September 1992, but have not received the guaranteed refund.

The complaint seeks preliminary and permanent injunctions against SIE and Telander and other relief, including disgorgement and civil penalties. Briggs has agreed to consent to the entry of a judgment of permanent injunction, and the payment of disgorgement and civil penalties, in amounts to be determined. [SEC v. Southwest International Exchange, Roger C. Briggs and William L. Telander, Civil Action No. 93-1285 AAH, SHx, CD Cal.] (LR-13555)

CIVIL ACTION AGAINST KURT NAEGELI

The Commission today announced that on March 11 the Honorable Robert P. Patterson, U.S. District Judge, entered final judgment against Kurt Naegeli (Naegeli), a Swiss attorney who resides in Zurich, Switzerland, permanently enjoining Naegeli from violating, and aiding and abetting violations of, Sections 10(b) and 14(e) of the Securities Exchange Act of 1934 (Exchange Act) and Rules 10b-5 and 14e-3 thereunder. Naegeli consented to the final judgment without admitting or denying the Commission's allegations.

The Commission's complaint, filed on June 23, 1992 (LR-13277), alleged that Naegeli had aided and abetted violations of Sections 10(b) and 14(e) of the Exchange Act and Rules 10b-5 and 14e-3 thereunder. The violations were committed in November 1989 by Christian Norgren (Norgren) and Finacor Anstalt (Finacor) in connection with purchases of the common stock and call option contracts for the common stock of Combustion Engineering, Inc. (Combustion Engineering) while they were in possession of material nonpublic information concerning a proposed acquisition of Combustion Engineering by ABB Asea Brown Boveri Ltd. (ABB), a Swedish-Swiss company. [SEC v. Kurt Naegeli, USDC, SDNY, 92 Civ. No. 4583, RPP] (LR-13557)

CIVIL ACTION AGAINST PERSONAL WEALTH SYSTEMS, INC.

The Commission announced that on March 8 the Honorable Howard T. Snyder, U.S. Magistrate Judge, entered an order requiring Personal Wealth Systems, Inc. (PWS) to appear and show cause on March 24 why it should not be required to comply fully with a Commission subpoena duces tecum.

The Commission's Application for an Order to Show Cause and an Order Requiring Obedience to a Subpoena duces tecum, filed on March 4, alleged that PWS, a Jacksonville, Florida based corporation, refused to produce documents pursuant to a lawfully issued Commission subpoena. [SEC v. Personal Wealth Systems, Inc., No. 93-79-MISC-J-20, MD Fl.] (LR-13558)

INVESTMENT COMPANY ACT RELEASES

CAPITAL CORPORATION OF AMERICA

A notice has been issued giving interested persons until April 5, 1993 to request a hearing on an application filed by Capital Corporation of America for an order under Section 8(f) of the Investment Company Act declaring that applicant has ceased to be an investment company. (Rel. IC-19324 - March 11)

AXE-HOUGHTON FUNDS, INC.

A notice has been issued giving interested persons until April 6, 1993 to request a hearing on an application filed by Axe-Houghton Funds, Inc. for an order under Section 8(f) of the Investment Company Act declaring that applicant has ceased to be an investment company. (Rel. IC-19325 - March 11)

CHANCELLOR FUNDS, INC.

A notice has been issued giving interested persons until April 6, 1993 to request a hearing on an application filed by Chancellor Funds, Inc. for an order under Section 8(f) of the Investment Company Act declaring that applicant has ceased to be an investment company. (Rel. IC-19326 - March 11)

USF&G TAX-EXEMPT MONEY MARKET FUNDS, INC.

A notice has been issued giving interested persons until April 6, 1993 to request a hearing on an application filed by USF&G Tax-Exempt Money Market Funds, Inc. for an order under Section 8(f) of the Investment Company Act declaring that applicant has ceased to be an investment company. (Rel. IC-19327 - March 11)

THE ALLIANCE FUND, INC., ET AL.

A notice has been issued giving interested persons until April 5, 1993 to request a hearing on an application filed by The Alliance Fund, Inc., et al. for an order under Section 6(c) of the Investment Company Act to amend previous orders that exempted applicants from the provisions of Sections 2(a)(32), 2(a)(35), 18(f), 18(g), 18(i), 22(c), and 22(d) of the Act and Rule 22c-1 thereunder. The order would permit the applicants to offer up to three classes of shares and to impose a CDSC on certain redemptions of one class of shares and to waive that CDSC in certain circumstances. The amended order would permit the applicants to assess a CDSC on certain redemptions of an additional class of shares and to waive that CDSC in certain cases. (Rel. IC-19328 - March 11)

SIGNIFICANT NO-ACTION AND INTERPRETATIVE LETTERS

The following is a list of significant no-action and interpretative letters recently issued by the Division of Corporation Finance. These letters express the view of the Division respecting novel or important questions arising under the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, and the Investment Advisers Act of 1940. Copies of these letters may be obtained by writing to the Public Reference Room, Securities and Exchange Commission, Washington, D.C. 20549, or by making a request in person at the Public Reference Room, 450 Fifth Street, N.W., Room 1024, Washington, D.C., stating the name of the subject company, the Act and the Section of the Act to which it relates, and the public availability date.

<u>COMPANY</u>	<u>ACT/SECTION/RULE OR RELEASE</u>	<u>DATE MAILED</u>	<u>PUBLIC AVAILABILITY DATE</u>
EnergyNorth, Inc.	1934 Act - Rule 16a-1(a)(2)(ii) (C)	11/4/92	11/4/92
King & Spalding	1933 Act - Section 5	11/17/92	11/17/92
Morgan Stanley & Co. Incorporated	1934 Act - Section 16(d)	11/2/92	11/2/92

<u>COMPANY</u>	<u>ACT/SECTION/RULE OR RELEASE</u>	<u>DATE MAILED</u>	<u>PUBLIC AVAILABILITY DATE</u>
Rowe Furniture Corporation	1934 Act - Rule 16b-3(b)	11/24/92	11/24/92
RE: Securities Act Release No. 6964	1933 Act - Rule 415	11/19/92	11/19/92

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-6 FIRST TRUST SPECIAL SIT TR SER 63 FIR TR INS COR TR SER 9, 1001 WARRENVILLE RD STE 300, LISLE, IL 60532 - INDEFINITE SHARES. (FILE 33-58470 - FEB. 17) (BR. 18 - NEW ISSUE)
- S-6 MORTGAGE SECURITIES TRUST CMO SERIES 13, 245 PARK AVENUE, NEW YORK, NY 10167 (212) 856-6858 - INDEFINITE SHARES. DEPOSITOR: BEAR STEARNS & CO INC. (FILE 33-58526 - FEB. 19) (BR. 16 - NEW ISSUE)
- SB-2 AUTOBITTER GROUP INC, 800 NEWPORT CENTER DR, STE 325, NEWPORT BEACH, CA 92660 (714) 640-7800 - 900,000 (\$4,050,000) COMMON STOCK. (FILE 33-58682-LA - FEB. 22) (BR. 4 - NEW ISSUE)
- S-4 FIRST BANK SYSTEM INC, FIRST BANK PL, 601 SECOND AVE S, MINNEAPOLIS, MN 55402 (612) 973-1111 - 20,597,590 (\$585,001,588) COMMON STOCK. (FILE 33-58844 - MAR. 01) (BR. 2)
- S-1 SKOLNIKS INC, 3535 NW 58TH ST STE 510, OKLAHOMA CITY, OK 73112 (405) 942-6124 - 1,785,625 (\$3,946,818.75) COMMON STOCK. (FILE 33-58858 - MAR. 01) (BR. 11)
- S-8 CCAIR INC, 100 TERMINAL RD 2ND FL, CHARLOTTE, NC 28208 (704) 359-8990 - 250,000 (\$1,218,750) COMMON STOCK. (FILE 33-58860 - MAR. 01) (BR. 3)
- S-8 LA QUINTA MOTOR INNS INC, LA QUINTA PLZ, 10010 SAN PEDRO AVE, SAN ANTONIO, TX 78216 (512) 366-6000 - 650,000 (\$9,750,000) COMMON STOCK. (FILE 33-58866 - MAR. 01) (BR. 11)
- S-8 VERTEX INDUSTRIES INC, 23 CAROL ST, CLIFTON, NJ 07014 (201) 777-3500 - 300,000 (\$3,187,500) COMMON STOCK. (FILE 33-58868 - MAR. 01) (BR. 10)
- S-8 LEASING TECHNOLOGY INC, 175 W 200 S STE 1000, SALT LAKE CITY, UT 89101 (801) 363-8961 - 73,000 (\$75,920) COMMON STOCK. (FILE 33-58870 - MAR. 01) (BR. 9)
- S-8 IKOS SYSTEMS INC, 19050 PRUNERIDGE AVE, CUPERTINO, CA 95014 (408) 255-4567 - 1,000,000 (\$2,440,000) COMMON STOCK. (FILE 33-58872 - MAR. 01) (BR. 10)

REGISTRATIONS CONTINUED

- S-3 NUCORP INC / DE/, TWO NORTH RIVERSIDE PLZ, CHICAGO, IL 60606 (312) 454-0100 - 407,041 (\$5,063,590) COMMON STOCK. (FILE 33-58874 - MAR. 02) (BR. 10)
- S-8 OAK INDUSTRIES INC, 1000 WINTER ST, BAY COLONY CORP CENTER, WALTHAM, MA 02154 (617) 890-0400 - 2,500,000 (\$5,408,947.60) COMMON STOCK. (FILE 33-58878 - MAR. 01) (BR. 8)
- S-8 1ST NATIONAL FILM CORP, 500 N CAPITAL OF TEXAS HWY, BLDG 6 STE 200, AUSTIN, TX 78746 (512) 327-3456 - 133,874 (\$511,758.10) COMMON STOCK. (FILE 33-58880 - MAR. 01) (BR. 11)
- S-1 THERMO FIBERTEK INC, 81 WYMAN ST, P O BOX 9046, WALTHAM, MA 02254 (617) 622-1000 - 2,361,340 (\$26,712,658) COMMON STOCK. (FILE 33-58884 - MAR. 02) (BR. 9)
- S-8 GLOBAL SPILL MANAGEMENT INC /NV/, ROUTE 724 & WELLS RD, PARKER FORD, PA 19457 (215) 495-3000 - 200,000 (\$1,375,000) COMMON STOCK. (FILE 33-58886 - MAR. 02) (BR. 8)
- S-8 PROGRESS SOFTWARE CORP /MA, 14 OAK PARK, BEDFORD, MA 01730 (617) 275-4500 - 10,000 (\$20,000) COMMON STOCK. (FILE 33-58892 - MAR. 02) (BR. 10)
- S-8 DEP CORP, 2101 E VIA ARADO, RANCHO DOMINGUEZ, CA 90220 (213) 604-0777 - 1,200,000 (\$10,850,000) COMMON STOCK. (FILE 33-58894 - MAR. 02) (BR. 10)
- S-8 CUC INTERNATIONAL INC /DE/, 707 SUMMER ST, STAMFORD, CT 06901 (203) 324-9261 - 750,000 (\$18,042,463) COMMON STOCK. (FILE 33-58896 - MAR. 02) (BR. 10)
- S-8 EQUITY AU INC, 625 DIGITAL DRIVE, STE 109, PLANO, TX 75075 (214) 867-2022 - 379,739 (\$93,150) COMMON STOCK. (FILE 33-58898 - MAR. 02) (BR. 1)
- S-8 UNITED HERITAGE CORP, 2 N CADDO ST, P O BOX 1956, CLEBURNE, TX 76031 (817) 477-5324 - 236,000 (\$295,000) COMMON STOCK. (FILE 33-58900 - FEB. 24) (BR. 4)
- S-8 READ RITE CORP /DE/, 345 LOS COCHES ST, MILPITAS, CA 95035 (408) 262-6700 - 5,500,000 (\$124,775,000) COMMON STOCK. (FILE 33-58906 - FEB. 26) (BR. 3)
- S-8 INTERLAKE CORP, 550 WARRENVILLE RD, LISLE, IL 60532 (708) 852-8800 - 885,250 (\$3,547,000) COMMON STOCK. (FILE 33-58908 - MAR. 01) (BR. 10)
- SB-2 FOOD INTEGRATED TECHNOLOGIES INC, MA 02146 (617) 232-4131 - 1,932,000 (\$12,880,000) COMMON STOCK. 56,000 (\$5) WARRANTS, OPTIONS OR RIGHTS. 168,000 (\$1,232,000) COMMON STOCK. UNDERWRITER: THOMAS JAMES ASSOCIATES INC. (FILE 33-58910-B - MAR. 01) (BR. 4 - NEW ISSUE)
- S-8 HOME FEDERAL BANCORP, 222 W SECOND ST, SEYMOUR, IN 47274 (812) 522-1592 - 50,000 (\$1,275,000) COMMON STOCK. (FILE 33-58912 - MAR. 01) (BR. 1)
- S-8 HOME FEDERAL BANCORP, 222 W SECOND ST, SEYMOUR, IN 47274 (812) 522-1592 - 121,400 (\$3,095,700) COMMON STOCK. (FILE 33-58914 - MAR. 01) (BR. 1)
- S-1 FUTURE NOW INC, 2722 EAST KEMPER RD, CINCINNATI, OH 45241 (513) 771-7110 - 1,667,500 (\$21,469,062.50) COMMON STOCK. UNDERWRITER: OHIO CO, WILLIAM BLAIR & CO. (FILE 33-58916 - MAR. 01) (BR. 10)
- S-3 PENN TRAFFIC CO, 319 WASHINGTON ST, JOHNSTOWN, PA 15901 (814) 536-9900 - 300,000,000 (\$300,000,000) STRAIGHT BONDS. 3,088,115 (\$108,084,025) COMMON STOCK. (FILE 33-58918 - MAR. 01) (BR. 1)
- S-8 ROYAL GOLD INC /DE/, 1660 WYNKOOP ST STE 1000, DENVER, CO 80202 (303) 573-1660 - 550,000 (\$1,718,750) COMMON STOCK. (FILE 33-58920 - MAR. 01) (BR. 2)

REGISTRATIONS CONTINUED

- S-4 PENN TRAFFIC CO, 319 WASHINGTON ST, JOHNSTOWN, PA 15901 (814) 536-9900 - 307,850 (\$10,813,231) COMMON STOCK. (FILE 33-58922 - MAR. 01) (BR. 1)
- S-8 SPS TRANSACTION SERVICES INC, 2500 LAKE COOK RD, RIVERWOODS, IL 60015 (708) 405-3700 - 600,000 (\$24,900,000) COMMON STOCK. (FILE 33-58924 - MAR. 01) (BR. 6)
- S-3 RJR NABISCO HOLDINGS CORP, 1301 AVE OF THE AMERICAS, NEW YORK, NY 10019 (212) 258-5600 - 106,950,000 (\$2,032,050,000) COMMON STOCK. UNDERWRITER: BEAR STEARNS & CO INC, FIRST BOSTON CORP, GOLDMAN SACHS & CO, LEHMAN BROTHERS, MERRILL LYNCH & CO, MORGAN STANLEY & CO INC. (FILE 33-58930 - MAR. 01) (BR. 3)
- S-3 CBI INDUSTRIES INC /DE/, 800 JORIE BLVD, OAK BROOK, IL 60522 (708) 572-7000 - 75,000,000 (\$75,000,000) STRAIGHT BONDS. UNDERWRITER: LEHMAN BROTHERS INC. (FILE 33-58940 - MAR. 02) (BR. 9)
- S-3 CHRYSLER FINANCIAL CORP, 27777 FRANKLIN RD, SOUTHFIELD, MI 48034 (313) 948-3060 - 1,000,000 (\$1,000,000) EQUIPMENT TRUST CERTIFICATES. (FILE 33-58942 - MAR. 02) (BR. 11)
- S-3 USLIFE CORP, 125 MAIDEN LN, NEW YORK, NY 10038 (212) 709-6000 - 100,000,000 (\$100,000,000) STRAIGHT BONDS. (FILE 33-58944 - MAR. 02) (BR. 9)
- S-8 CML GROUP INC, 524 MAIN ST, ACTON, MA 01720 (508) 264-4155 - 600,000 (\$16,764,000) COMMON STOCK. (FILE 33-58952 - MAR. 02) (BR. 2)
- S-3 GIDDINGS & LEWIS INC /WI/, 142 DOTY ST, FOND DU LAC, WI 54935 (414) 921-9400 - 11,800 (\$321,550) COMMON STOCK. UNDERWRITER: FIRST BOSTON CORP. (FILE 33-58954 - MAR. 02) (BR. 1)
- SB-2 REGATTA CAPITAL LTD, 222 MILWAUKEE ST STE 304, DENVER, CO 80206 (303) 329-3479 - 2,875 (\$5,750,000) STRAIGHT BONDS. 57,500 (\$345,000) COMMON STOCK. UNDERWRITER: WALFORD & CO INC. (FILE 33-58956-D - MAR. 01) (BR. 11)
- S-1 AMERICAN STUDIOS INC, 11001 PARK CHARLOTTE BLVD, CHARLOTTE, NC 28273 (704) 588-4351 - 3,450,000 (\$52,405,500) COMMON STOCK. UNDERWRITER: FIRST BOSTON CORP, ROBINSON HUMPHREY CO INC. (FILE 33-58958 - MAR. 02) (BR. 5)
- S-3 INTEL CORP, 2200 MISSION COLLEGE BLVD, SANTA CLARA, CA 95052 (408) 765-8080 UNDERWRITER: MORGAN STANLEY & CO INC, SHEARSON LEHMAN BROTHERS INC. (FILE 33-58964 - MAR. 02) (BR. 3)
- SB-2 WASTE CONVERSION SYSTEMS INC, 6890 S TUCSON WAY STE 200, ENGLEWOOD, CO 80112 (303) 790-8300 - 5,016,000 (\$5,016,000) COMMON STOCK. (FILE 33-58972 - MAR. 02) (BR. 10)
- S-8 FIRST REPUBLIC BANCORP INC, 388 MARKET ST, 2ND FL, SAN FRANCISCO, CA 94111 (415) 392-1400 - 400,000 (\$4,976,000) COMMON STOCK. (FILE 33-58978 - MAR. 03) (BR. 2)
- S-1 CTL CREDIT INC, 319 EAST CARRILLO ST, SANTA BARBARA, CA 93101 (805) 963-8743 - 3,450,000 (\$41,400,000) COMMON STOCK. UNDERWRITER: MONTGOMERY SECURITIES. (FILE 33-58980 - MAR. 03) (BR. 1 - NEW ISSUE)
- S-3 EASEL CORP, 25 CORPORATE DR, BURLINGTON, MA 01803 (617) 221-2100 - 645,266 (\$5,323,444) COMMON STOCK. (FILE 33-58994 - MAR. 03) (BR. 9)
- S-1 SUMMIT COMMUNICATIONS GROUP INC, 115 PERIMETER CENTER PL, STE 1150, ATLANTA, GA 30346 (404) 394-0707 - 130,000,000 (\$130,000,000) STRAIGHT BONDS. UNDERWRITER: DONALDSON LUFKIN & JENRETTE SECURITIES C, LAZARD FRERES & CO. (FILE 33-58996 - MAR. 03) (BR. 7 - NEW ISSUE)

REGISTRATIONS CONTINUED

- F-1 SOFTIMAGE INC, 3540 ST LAURENT BLVD STE 500, MONTREAL QUEBEC CANADA H2X 2V2, E4
(\$14) 845-1636 - 1,403,000 (\$21,220,375) FOREIGN COMMON STOCK. (FILE 33-59000 -
MAR. 03) (BR. 9)
- N-1A WITTER DEAN GLOBAL DIVIDEND GROWTH SECURITIES, TWO WORLD TRADE CENTER, NEW YORK, NY
10048 (212) 392-1600 - INDEFINITE SHARES. UNDERWRITER: DEAN WITTER DISTRIBUTORS INC.
(FILE 33-59004 - MAR. 04) (BR. 16 - NEW ISSUE)
- S-1 GOVERNMENT EXPORT TRUST SERIES 1993-1, C/O MANUFACTURERS & TRADERS TRUST CO,
ONE M&T PLZ, BUFFALO, NY 14203 (716) 842-5602 - 91,480,660 (\$91,480,660.54)
EQUIPMENT TRUST CERTIFICATES. UNDERWRITER: CHEMICAL SECURITIES INC. (FILE 33-59010 -
MAR. 04) (BR. 11 - NEW ISSUE)
- S-1 SAFETY 1ST INC, 210 BOYLSTON ST, CHESTNUT HILL, MA 02167 (617) 964-7744 - 2,300,000
(\$29,900,000) COMMON STOCK. UNDERWRITER: PRUDENTIAL SECURITIES INC. (FILE 33-59016 -
MAR. 04) (BR. 5 - NEW ISSUE)
- S-3 PHILLIPS PETROLEUM CO, 17 PHILLIPS BLDG, BARTLESVILLE, OK 74004 (918) 661-6600 -
500,000,000 (\$500,000,000) STRAIGHT BONDS. (FILE 33-59020 - MAR. 03) (BR. 4)
- S-8 PRATT HOTEL CORP /DE/, 13455 NOEL RD LB 48, TWO GALLERIA TOWER STE 2200, DALLAS, TX
75240 (214) 386-9777 - 102,093 (\$459,019) COMMON STOCK. (FILE 33-59024 - MAR. 03)
(BR. 11)
- S-8 UNICARE FINANCIAL CORP, 2201 DUPONT DR, IRVINE, CA 92715 (714) 955-2170 - 500,000
(\$7,125,000) COMMON STOCK. (FILE 33-59026 - MAR. 03) (BR. 9)
- S-6 FIRST TRUST COMBINED SERIES 181, 1001 WARRENVILLE ROAD, LISLE, IL 60532 -
INDEFINITE SHARES. (FILE 33-59028 - MAR. 03) (BR. 18 - NEW ISSUE)
- S-3 CENTEX CORP, PO BOX 19000, DALLAS, TX 75219 (214) 559-6500 - 100,000,000
(\$100,000,000) STRAIGHT BONDS. (FILE 33-59030 - MAR. 03) (BR. 10)
- F-6 FAI INSURANCES LTD, 185 MACQUARIE 12TH FL, C/O BANK OF NEW YORK, SYDNEY AUSTRALIA,
C3 (212) 921-9100 - 50,000,000 (\$2,500,000) DEPOSITORY RECEIPTS FOR COMMON STOCK.
(FILE 33-59034 - MAR. 02) (BR. 11)
- F-6 NINTENDO CO LTD, 111 WALL ST, NEW YORK, NY 10043 (212) 657-7691 - 50,000,000
(\$5,000,000) DEPOSITORY RECEIPTS FOR COMMON STOCK. (FILE 33-59036 - MAR. 02)
- S-8 GLOBAL SPILL MANAGEMENT INC /NV/, ROUTE 724 & WELLS RD, PARKER FORD, PA 19457
(215) 495-3000 - 255,800 (\$1,758,625) COMMON STOCK. (FILE 33-59038 - MAR. 02) (BR. 8)
- S-11 AMERICAN BUSINESS FINANCIAL SERVICES INC, 301 CITY LINE AVE, BALA CYNWYD, PA 19004
(215) 668-2400 - 375,000 (\$1,500,000) COMMON STOCK. (FILE 33-59042 - MAR. 03) (BR. 12
- NEW ISSUE)
- S-3 NORD RESOURCES CORP, 8150 WASHINGTON VILLAGE DR, DAYTON, OH 45458 (513) 433-6307 -
564,707 (\$3,423,536) COMMON STOCK. (FILE 33-59048 - MAR. 03) (BR. 2)
- S-8 TENNANT CO, 701 N LILAC DR, P O BOX 1452, MINNEAPOLIS, MN 55440 (612) 540-1200 -
275,000 (\$12,375,000) COMMON STOCK. (FILE 33-59054 - MAR. 03) (BR. 9)
- S-8 AEROSONIC CORP /DE/, 1212 N HERCULES AVE, CLEARWATER, FL 34625 (813) 461-3000 -
300,000 (\$937,500) COMMON STOCK. (FILE 33-59064 - MAR. 03) (BR. 6)
- S-1 MARTIN COLOR-FI INC, 510 AUGUSTA RD, EDGEFIELD, SC 29824 (803) 637-5376 - 1,955,000
(\$25,415,000) COMMON STOCK. UNDERWRITER: ROBINSON HUMPHREY CO INC. (FILE 33-59124 -
MAR. 04) (BR. 2 - NEW ISSUE)
- S-1 COMMANDER AIRCRAFT CO, 7200 NORHTWEST 63RD ST, HANGAR EIGHT WILEY POST AIRPORT,
BETHANY, OK 73008 (405) 495-8080 - 1,265,000 (\$11,385,000) COMMON STOCK. UNDERWRITER:
HANIFEN IMHOFF INC. (FILE 33-59128 - MAR. 04) (BR. 12 - NEW ISSUE)

ACQUISITION OF SECURITIES

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/% OWNED	CUSIP/ PRIOR%	FILING STATUS
XEDAR CORP CCM BANCA CRED COMM MOBIL	COM 13D	2/ 2/93	96 5.7	98400210 0.0	NEW
ZEUS COMPONENTS INC ARROW ELECTRONICS INC	COM 13D	3/ 3/93	1,556 55.4	98999010 0.0	NEW
ZEUS COMPONENTS INC ARROW ELECTRONICS INC	COM 13D	3/ 3/93	1,556 55.4	98999010 0.0	RVSION
ZEUS COMPONENTS INC GREENFIELD JESSE	COM 13D	2/12/93	141 5.2	98999010 0.0	NEW
ZEUS COMPONENTS INC GREENFIELD JESSE	COM 13D	2/12/93	141 5.2	98999010 0.0	RVSION
AUTOTOTE CORP LEE THOMAS H	CL A 13D	12/30/92	1,341 25.6	05332310 26.3	RVSION
AUTOTOTE CORP LEE THOMAS H	CL A 13D	12/30/92	1,341 25.6	05332310 26.3	RVSION
BVR TECHNOLOGIES LTD PSAGOT LEUMI IGUD LTD	ORD 13D	12/29/92	532 10.1	05596399 7.0	UPDATE
BVR TECHNOLOGIES LTD PSAGOT LEUMI IGUD LTD	ORD 13D	12/29/92	532 10.1	05596399 7.0	RVSION
CALPROP CORP ZACCAGLIN VICTOR ET AL	COM 13D	2/28/93	1,739 37.0	13135210 38.4	UPDATE
CALPROP CORP ZACCAGLIN VICTOR ET AL	COM 13D	2/28/93	1,739 37.0	13135210 38.4	RVSION

ACQUISITIONS CONT.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ OWNED	CUSIP/ PRIOR%	FILING STATUS
CHALLENGER INTL LTD OCEAN ENERGY LTD ET AL	COM 13D	1/20/93	547 5.6	15758210 7.6	UPDATE
CHALLENGER INTL LTD OCEAN ENERGY LTD ET AL	COM 13D	1/20/93	547 5.6	15758210 7.6	RVSION
CHRISTIAN PURCHASNG NTWK INC JORDAN W NEAL	COM 13D	2/22/93	5,005 44.8	17077510 50.2	UPDATE
CHRISTIAN PURCHASNG NTWK INC JORDAN W NEAL	COM 13D	2/22/93	5,005 44.8	17077510 50.2	RVSION
CORPORATE MGMT GROUP INC GILMAN INVMMNT CO ET AL	COM 13D	2/25/93	1,916 46.5	22002210 46.5	UPDATE
CORPORATE MGMT GROUP INC GILMAN INVMMNT CO ET AL	COM 13D	2/25/93	1,916 46.5	22002210 46.5	RVSION
CURRAGH INC INVESTORS RETIREMENT ET AL	SUB VTG SH 13D	2/ 3/93	0 0.0	23129410 6.6	UPDATE
CURRAGH INC INVESTORS RETIREMENT ET AL	SUB VTG SH 13D	2/ 3/93	0 0.0	23129410 6.6	RVSION
DANIELSON HLDG CORP JUNKYARD PARTNERS LP ET AL	COM 13D	2/10/93	549 3.6	23627410 11.5	UPDATE
DANIELSON HLDG CORP JUNKYARD PARTNERS LP ET AL	COM 13D	2/10/93	549 3.6	23627410 11.5	RVSION
EDGEMARK FINANCIAL CORP BRUNING CHARLES A	COM 13D	1/25/93	155 10.1	28007110 0.0	NEW
EDGEMARK FINANCIAL CORP BRUNING CHARLES A	COM 13D	1/25/93	155 10.1	28007110 0.0	RVSION
FIRST PAC NETWORKS INC SPECIAL ASSETS US HLDG ET AL	COM 13D	2/25/93	1,000 5.6	33593310 0.0	NEW
FIRST PAC NETWORKS INC SPECIAL ASSETS US HLDG ET AL	COM 13D	2/25/93	1,000 5.6	33593310 0.0	RVSION
FLEET AEROSPACE INC BERLIN THOMAS G	10% CUM PFD 13D	2/26/93	128 18.7	33889320 12.7	UPDATE
FLEET AEROSPACE INC BERLIN THOMAS G	10% CUM PFD 13D	2/26/93	128 18.7	33889320 12.7	RVSION
GLENAYRE TECHNOLOGIES INC CUNDILL PETER & ASSOC LTD	COM 13D	3/ 2/93	239 5.6	37789910 7.0	UPDATE
GLENAYRE TECHNOLOGIES INC CUNDILL PETER & ASSOC LTD	COM 13D	3/ 2/93	239 5.6	37789910 7.0	RVSION

ACQUISITIONS CONT.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/% OWNED	CUSIP/ PRIOR%	FILING STATUS
MEDSTAT GROUP INC DUNCAN E TOWNES ET AL	COM 13D	2/25/93	1,287 12.4	58505110 0.0	NEW
MEDSTAT GROUP INC DUNCAN E TOWNES ET AL	COM 13D	2/25/93	1,287 12.4	58505110 0.0	RVSION
NEW EAST BANCORP MURPHY WENDELL H	COM 13D	12/14/92	132 5.1	64379599 0.0	NEW
NEW EAST BANCORP MURPHY WENDELL H	COM 13D	12/14/92	132 5.1	64379599 0.0	RVSION
SCHOLASTIC CORP GOLDMAN SACHS & CO	COM 13D	3/ 5/93	1,243 8.1	80706610 8.1	UPDATE
US FACS CORP WOODWARD JOHN E III	COM 13D	3/ 4/93	50 0.9	91182210 5.3	UPDATE
US FACS CORP WOODWARD JOHN E III	COM 13D	3/ 4/93	50 0.9	91182210 5.3	RVSION
WORDSTAR INTL INC ZACHMANN MARK	COM 13D	1/26/93	1,094 5.2	98136310 5.2	UPDATE
WORDSTAR INTL INC ZACHMANN MARK	COM 13D	1/26/93	1,094 5.2	98136310 5.2	RVSION
ADVEST GROUP INC KELLOGG PETER R	COM 13D	3/ 3/93	1,240 13.2	00756610 12.0	UPDATE
AMERICAN MGMT SYS INC INTL BUSINESS MACHINES	COM 13D	2/ 5/93	1,141 7.5	02735210 11.9	UPDATE
AMERICAN MGMT SYS INC INTL BUSINESS MACHINES	COM 13D	2/ 5/93	1,141 7.5	02735210 11.9	RVSION
ARMANINO FOODS DISTINCTION GRUBER JON D ET AL	COM NO PAR 13D	1/28/93	1,058 10.4	04216640 8.7	RVSION
ARMANINO FOODS DISTINCTION GRUBER JON D ET AL	COM NO PAR 13D	1/28/93	1,058 10.4	04216640 8.7	RVSION
BANYAN STRATEGIC LAND FD II DICKSTEIN & CO	COM 13D	3/ 9/93	1,146 6.0	06699810 5.1	UPDATE
BANYAN STRATEGIC LAND FD II DICKSTEIN & CO	COM 13D	3/ 9/93	1,146 6.0	06699810 5.1	RVSION
CENTEL CORP GAMCO INVESTORS INC ET AL	COM 13D	3/ 8/93	0 0.0	15133410 6.3	UPDATE
CENTEL CORP GAMCO INVESTORS INC ET AL	COM 13D	3/ 8/93	0 0.0	15133410 6.3	RVSION

ACQUISITIONS CONT.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ OWNED	CUSIP/ PRIOR%	FILING STATUS
CLINICAL HOMECARE LTD NETWORK INFUSION CARE INC	COM NEW 13D	3/ 5/93	1,387 19.0	18726310 0.0	NEW
COMSOUTH BANKSHARES INC FINLEY JAMES E ET AL	COM 13D	3/ 1/93	105 7.7	20564810 0.0	NEW
COMSOUTH BANKSHARES INC FINLEY JAMES E ET AL	COM 13D	3/ 1/93	105 7.7	20564810 0.0	RVSION
CRAWFORD ENERGY INC TANNENHAUSER CAROL	COM 13D	1/ 1/93	6,024 34.2	22501510 6.7	RVSION
CRAWFORD ENERGY INC TANNENHAUSER CAROL	COM 13D	1/ 1/93	6,024 34.2	22501510 6.7	RVSION
EDITEK INC LINDLEY J THOMAS SR	COM 13D	EC 3/ 4/93	369 10.7	28106810 10.9	UPDATE
EDITEK INC LINDLEY J THOMAS SR	COM 13D	EC 3/ 4/93	369 10.7	28106810 10.9	RVSION
ENEX OIL GAS INC PROG II-10 ENEX RES CORP ET AL	LTD PART INT 13D	12/31/92	N/A N/A	29274377 0.0	NEW
ENEX OIL GAS INC PROG II-10 ENEX RES CORP ET AL	LTD PART INT 13D	12/31/92	N/A N/A	29274377 0.0	RVSION
ENEX OIL GAS INC PROG III-1 ENEX RES CORP ET AL	LTD PART INT 13D	2/28/93	N/A N/A	29274378 0.0	NEW
ENEX OIL GAS INC PROG III-1 ENEX RES CORP ET AL	LTD PART INT 13D	2/28/93	N/A N/A	29274378 0.0	RVSION
FIRST WESTN CORP TURNER INVT PARTNERS INC	COM 13D	3/ 8/93	137 4.1	33752710 0.0	UPDATE
FOREMOST CORP AMER FREY FOUNDATION ET AL	COM 13D	3/ 8/93	2,637 24.6	34546910 27.3	UPDATE
FOREMOST CORP AMER FREY FOUNDATION ET AL	COM 13D	3/ 8/93	2,637 24.6	34546910 27.3	RVSION
HARMONY HLDGS INC LABRADOR HLDGS INC	COM 13D	2/ 9/93	175 5.1	41322310 5.1	UPDATE
IMAGINE FILMS ENTMT INC HG PRODUCTION ET AL	COM 13D	3/10/93	5,280 100.0	45291110 0.0	UPDATE
IMAGINE FILMS ENTMT INC HG PRODUCTION ET AL	COM 13D	3/10/93	5,280 100.0	45291110 0.0	RVSION
IMMUNO THERAPEUTICS INC PRIMEDEX HEALTH SYSTEMS INC	COM 13D	2/25/93	4,192 71.0	45291610 0.0	NEW

ACQUISITIONS CONT.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ OWNED	CUSIP/ PRIOR%	FILING STATUS
INTL THOROUGHBRED BREEDERS RICCIO RONALD J TRUSTEE	COM PAR \$2.00 13D	2/24/93	1,091 11.5	46049180 10.2	UPDATE
INTL THOROUGHBRED BREEDERS RICCIO RONALD J TRUSTEE	COM PAR \$2.00 13D	2/24/93	1,091 11.5	46049180 10.2	RVSION
JAN BELL MARKETING INC BENSHMUEL ELIAHU	COM 13D	3/ 5/93	1,323 5.3	47076010 8.4	UPDATE
INTL FAMILY ENTMT INC GAMCO INVESTORS INC ET AL	CL B 13D	3/ 9/93	2,404 11.0	48099510 9.9	UPDATE
KERR GROUP INC KERR GLASS MFG ESOP-1987	COM 13D	3/ 8/93	300 8.2	49237610 12.7	UPDATE
KERR GROUP INC KERR GROUP INCENTIVE ESOP	COM 13D	3/ 8/93	262 7.1	49237610 0.0	NEW
LA QUINTA MTR INNS INC TAYLOR THOMAS M ET AL	COM 13D	3/ 9/93	4,340 32.6	50419510 19.8	UPDATE
MANAGEMENT TECHNOLOGIES INC CATALDO ANTHONY J	COM 13D	3/ 9/93	1,744 12.5	56170410 0.0	NEW
MANAGEMENT TECHNOLOGIES INC DAVIS J MORTON ET AL	COM 13D	2/22/93	3,638 23.1	56170410 9.4	RVSION
MANAGEMENT TECHNOLOGIES INC DAVIS J MORTON ET AL	COM 13D	2/22/93	3,638 23.1	56170410 9.4	RVSION
MANAGEMENT TECHNOLOGIES INC DAVIS J MORTON ET AL	COM 13D	2/22/93	3,638 23.1	56170410 9.4	RVSION
MICHAEL ANTHONY JEWELLER INC COM WEISZ GEORGE	COM 13D	2/ 5/93	0 0.0	59406010 17.1	UPDATE
MICHAEL ANTHONY JEWELLER INC COM WEISZ GEORGE	COM 13D	2/ 5/93	0 0.0	59406010 17.1	RVSION
NICHOLS INST ALBERTI JOHN	CL A 13D	2/28/93	73 1.0	65380640 0.0	NEW
NICHOLS INST DISHART PAUL	CL A 13D	2/28/93	41 0.6	65380640 0.0	NEW
NICHOLS INST NICHOLS ALBERT L	CL A 13D	3/ 1/93	1,357 18.4	65380640 18.9	RVSION
NOSTALGIA NETWORK INC CROWN COMMUNICATIONS CRP ET AL	COM NEW 13D	3/ 2/93	3,952 27.3	66975230 27.4	UPDATE
NOSTALGIA NETWORK INC CROWN COMMUNICATIONS CRP ET AL	COM NEW 13D	3/ 2/93	3,952 27.3	66975230 27.4	RVSION