

sec news digest

Issue 88-74

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COMMISSION ANNOUNCEMENTS **U.S. SECURITIES AND EXCHANGE COMMISSION**

WILLIAM M. HEGAN NAMED DEPUTY REGIONAL ADMINISTRATOR IN CHICAGO

William D. Goldsberry, Regional Administrator of the Chicago Regional Office, today announced the promotion of William M. Hegan to Deputy Regional Administrator of the Chicago Office.

Mr. Hegan graduated from Loyola University (Chicago) and received a Juris Doctor degree from the University of Chicago Law School.

Mr. Hegan joined the CRO in 1963 as an attorney in one of its branches of enforcement. In subsequent years, Mr. Hegan was named an Enforcement Branch Chief and Assistant Regional Administrator for Enforcement. In January 1978 he was promoted to the position of Associate Regional Administrator, which he has continued to occupy since that time. (Press Release 88-31)

CHAIRMAN CALLS FOR "NEXT GENERATION" OF STATE-FEDERAL REGULATORY COOPERATION

Chairman Ruder yesterday called for the "next generation" of cooperation between state and federal regulators to improve investor protections and to make regulation more effective and less burdensome, particularly for small businesses. Chairman Ruder's remarks, released in Washington today, were made to the Fifth Annual Conference of the Commission and the National Association of State Securities Administrators being held in Washington. (Press Release 88-32)

CIVIL PROCEEDINGS

FINTECH, INC. ENJOINED

The Denver Regional Office announced that on April 6 the U.S. District Court for the District of Columbia entered a Final Judgment of Permanent Injunction and Order by Default against Fintech, Inc. of Salt Lake City, Utah. The Judgment restrains and enjoins Fintech from failing to file timely periodic reports and Notifications of Late Filing, and requires the company to file certain delinquent reports.

In its September 22, 1987 Complaint, the Commission alleged, among other things, that Fintech failed to file its Annual Reports on Forms 10-K for its fiscal years ended September 30, 1985 and September 30, 1986, and its Quarterly Reports on Forms 10-Q for its fiscal quarters ended March 31, 1986, June 30, 1986, December 31, 1986, March 31, 1987, and June 30, 1987. (SEC v. Fintech, Inc., USDC DC, Civil Action No. 87-2601 GAG). (LR-11705)

CENTENNIAL ACQUISITIONS, INC. ENJOINED

The Denver Regional Office announced that on April 5 the U.S. District Court for the District of Columbia entered a Final Judgment of Permanent Injunction and Order against Centennial Acquisitions, Inc. of Golden, Colorado. The Judgment restrains and enjoins Centennial from failing to file timely periodic reports and Notifications of Late Filing, and requires the company to file certain delinquent reports.

In its September 22, 1987 Complaint, the Commission alleged, among other things, that Centennial failed to file its Annual Reports on Forms 10-K for its fiscal years ended November 30, 1985 and November 30, 1986, and its Quarterly Reports on Forms 10-Q for its fiscal quarters ended February 28, 1986, May 31, 1986, August 31, 1986, February 28, 1987, and May 31, 1987. The Commission also alleged that Centennial failed to file complete Current Reports on Forms 8-K concerning its acquisitions of Helises

Drilling Corporation in December 1985 and Mountain States Exploration II, Inc. in January 1987. The Complaint further alleged that Centennial had not filed a Sales Report on Form SR. In its Consent, Centennial acknowledged that it failed to file two Annual and five Quarterly Reports, two complete Current Reports, its Form SR, and seven Notifications of Late Filing. (SEC v. Centennial Acquisitions, Inc., USDC DC, Civil Action No. 87-2604 OG). (LR-11706)

**TEMPORARY RESTRAINING ORDER ENTERED
AGAINST INTERNATIONAL SWISS INVESTMENTS, OTHERS**

The Seattle Regional Office announced that on April 15 U.S. District Judge Barbara J. Rothstein, Western District of Washington, entered a Temporary Restraining Order against International Swiss Investments Corp. (ISI), The Leverage Fund, The Sulfur Fund, Leonard C. Zrnic, and Jana Whyman, prohibiting the further sales of securities without compliance with the antifraud and registration provisions of the securities laws and registration provisions of the Investment Company Act of 1940. The Order also provides for, (1) a show cause hearing for a preliminary injunction; (2) a freeze on the assets of the defendants; and (3) an accounting of funds raised from investors.

The Order was entered in conjunction with a complaint which was filed by the Commission earlier in the day alleging that the defendants, all formerly from Costa Rica and now operating out of Panama and Cancun, Mexico, conducted a boiler room operation for the offer and sale of the securities of ISI and the two funds. ISI and the two funds had previously been placed on the Foreign Restricted List by the Commission on February 18, 1987. (SEC v. INTERNATIONAL SWISS INVESTMENTS CORP., et al., Civil Action File No. C88-491, USDC WDWA). (LR-11708)

INVESTMENT COMPANY ACT RELEASES

DREYFUS FOREIGN INVESTORS GNMA FUND, L.P.

A notice has been issued giving interested persons until May 5 to request a hearing on an application filed by Dreyfus Foreign Investors GNMA Fund, L.P., and eight other registered limited partnership investment companies (Partnerships) advised by The Dreyfus Corporation (Adviser) and Dreyfus Partnership Management, Inc. (DPM). The application requests an order (1) determining that the Independent Managing General Partners of the Partnerships are not deemed "interested persons" of a Partnership, the Adviser, or each Partnership's principal underwriter for purposes of Section 2(a)(19) of the Investment Company Act; and (2) determining that limited partners who own less than five percent equity interest in a Partnership will not be deemed "affiliated persons" (as defined by Section 2(a)(3)(D) of the Act) of the Partnership or other partners solely by reason of being limited partners. (Rel. IC-16367 - April 14)

BANK OF NEW ENGLAND

A notice has been issued giving interested persons until May 9 to request a hearing on an application filed by Bank of New England for an order exempting it and any presently issued or subsequently issued unit investment trusts registered under the Investment Company Act or which Applicant serves as trustee, and any sub-custodian thereof, from the provisions of Section 26(a)(2)(D) of the Act to the extent necessary to permit Applicant to deposit, or to cause or permit the deposit of, securities and other assets of any current and future trusts with the Euro-Clear System or Central de Livraison Valuers Mobileres, S.A. (Rel. IC-16368 - April 15)

AIM CONVERTIBLE SECURITIES, INC.

An order has been issued on an application filed by certain mutual funds within the AIM Management Group permitting them to file with the Commission financial statements signed or certified by an independent public accountant selected at a board of directors or trustees meeting held more than 30 but not more than 90 days before or after the beginning of their respective fiscal years. (Rel. IC-16369 - April 14)

LISTING, DELISTING AND UNLISTED TRADING ACTIONS

UNLISTED TRADING GRANTED

An order has been issued granting the application of the Philadelphia Stock Exchange for unlisted trading privileges in the common stock of three issues which are listed and registered on one or more other national securities exchanges and is reported in the consolidated transaction reporting system. (Rel. 34-25589)

SELF-REGULATORY ORGANIZATIONS

NOTICE OF PROPOSED RULE CHANGE

The New York Stock Exchange filed a proposed rule change (SR-NYSE-88-10) that would extend its pilot program allowing "monthly expirations" for stock options. Publication of the proposal is expected to be made in the Federal Register during the week of April 11. (Rel. 34-25590)

APPROVAL OF PROPOSED RULE CHANGE

The Commission approved a proposed rule change filed by the National Association of Securities Dealers (SR-NASD-87-42) to amend Article V, Part A of its Code of Procedure to (1) change the composition of the District Surveillance Committees (DSCs) empowered to impose business limitations on members; and (2) grant members a right to notice and an opportunity for a hearing, to determine whether a DSC may properly impose sanctions on members for the members' failure to adhere to business limitations previously imposed by the DSC. (Rel. 34-25593)

AMENDMENT TO APPLICATION

The International Securities Clearing Corporation filed amendments to its application for registration as a clearing agency under Section 17A of the Securities Exchange Act of 1934 and Rule 17Ab2-1(c)(1). (Rel. 34-25594)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- 5-18 GENCOM SYSTEMS INC, 6820 NE 63RD AVENUE, VANCOUVER, WA 98661 (206) 695-5252 - 1,500,000 (\$375,000) COMMON STOCK. (FILE 33-20787-S - MAR. 22) (BR. 7 - NEW ISSUE)
- 5-9 HARDINGE BROTHERS INC, 1420 COLLEGE AVE, ELMIRA, NY 14902 (607) 734-2281 - 60,000 (\$1,200,000) COMMON STOCK. (FILE 33-20895 - APR. 05) (BR. 1 - NEW ISSUE)
- 5-19 SOUTHERN KITCHENS INC, 2040 W BROADWAY AVE, MINNEAPOLIS, MN 55411 (612) 522-6772 - 2,700,000 (\$675,000) COMMON STOCK. 2,700,000 (\$2,205,000) COMMON STOCK. UNDERWRITER: KIMBRIDGE & CO INC. (FILE 33-20925-C - MAR. 29) (BR. 7 - NEW ISSUE)
- 5-19 SUNSTATE BANCSHARES INC, 1300 E FLORENCE BLVD, CASA GRANDE, AZ 85222 (502) 836-4666 - 700,000 (\$6,405,000) COMMON STOCK. (FILE 33-20928-LA - MAR. 29) (BR. 2 - NEW ISSUE)
- 5-18 MARIW FUND INC, 305 SEBASTOPOL RD, SANTA ROSA, CA 95407 (707) 546-6816 - 30,000,000 (\$300,000) COMMON STOCK. 120,000,000 (\$2,400,000) COMMON STOCK. 120,000,000 (\$2,600,000) COMMON STOCK. 3,000,000 (\$150) WARRANTS, OPTIONS OR RIGHTS. 3,000,000 (\$36,000) COMMON STOCK. UNDERWRITER: STATE STREET SECURITIES INC. (FILE 33-20929-LA - MAR. 28) (BR. 11 - NEW ISSUE)
- 5-18 PAIN PREVENTION LAB INC, 3400 DUNDEE RD, NORTHBROOK, IL 60062 (312) 498-0999 - 1,250,000 (\$3,750,000) COMMON STOCK. 1,250,000 (\$2,500,000) COMMON STOCK. 125,000 (\$125) WARRANTS, OPTIONS OR RIGHTS. 125,000 (\$525,000) COMMON STOCK. 125,000 (\$312,500) COMMON STOCK. UNDERWRITER: GREELEY SECURITIES INC. (FILE 33-20987-C - MAR. 31) (BR. 8 - NEW ISSUE)

- S-18 STONE MOUNTAIN INDUSTRIES INC, 2971 FLOWERS RD S - STE 138, ATLANTA, GA 30341 (404) 455-6854 - 10,000,000 (\$250,000) COMMON STOCK. 10,000,000 (\$300,000) COMMON STOCK. 10,000,000 (\$800,000) COMMON STOCK. (FILE 33-21020-A - APR. 04) (BR. 12 - NEW ISSUE)
- S-1 BMR FINANCIAL GROUP INC, 2302 PARKLAKE DRIVE N.E., STE 200, ATLANTA, GA 30345 (404) 934-9994 - 1,000,000 (\$10,500,000) COMMON STOCK. UNDERWRITER: ALEX BROWN & SONS, BREDFORD J C & CO. (FILE 33-21023 - APR. 05) (BR. 1 - NEW ISSUE)
- S-18 NBS I CAPITAL CORP, 7100 NORTH BROADWAY #1H, DENVER, CO 80221 (303) 427-7171 - 60,000,000 (\$300,000) COMMON STOCK. 6,000,000 (\$60) WARRANTS, OPTIONS OR RIGHTS. 50,000,000 (\$600,000) COMMON STOCK. 120,000,000 (\$4,800,000) COMMON STOCK. 6,000,000 (\$36,000) COMMON STOCK. UNDERWRITER: DUNHILL INVESTMENTS LTD. (FILE 33-21025-D - APR. 04) (BR. 12 - NEW ISSUE)
- S-8 FMHART CORP /VA/, 426 COLT HWY, FARMINGTON, CT 06032 (203) 678-3000 - 3,000,000 (\$62,250,000) COMMON STOCK. (FILE 33-21035 - APR. 11) (BR. 9)
- S-9 NBD BANCORP INC /DE/, 611 WOODWARD AVE, DETROIT, MI 48226 (313) 225-1000 - 1,419,000 (\$49,246,000) COMMON STOCK. (FILE 33-21036 - APR. 11) (BR. 1)
- S-6 TAX EXEMPT SECURITIES TRUST SERIES 279, 1345 AVE OF THE AMERICAS, C/O SMITH BARNEY HARRIS & CO INC, NEW YORK, NY 10105 - 2,400 (\$2,500,000) UNIT INVESTMENT TRUST. (FILE 33-21037 - APR. 11) (BR. 16 - NEW ISSUE)
- S-6 TAX EXEMPT SECURITIES TRUST SERIES 278, SMITH BARNEY HARRIS UPHAM & CO INC, 1345 AVENUE OF THE AMERICAS, NEW YORK, NY 10105 - 2,400 (\$2,500,000) UNIT INVESTMENT TRUST. (FILE 33-21038 - APR. 11) (BR. 16 - NEW ISSUE)
- S-18 ASCENT INC, PRJNEYARD TWRS I THIRD FL, 1901 S BASCOM AVE, CAMPBELL, CA 95008 (408) 559-7580 - 10,000,000 (\$200,000) COMMON STOCK. 10,000,000 (\$700,000) COMMON STOCK. 10,000,000 (\$400,000) COMMON STOCK. UNDERWRITER: HABERSHEIR SECURITIES INC. (FILE 33-21040 - APR. 07) (BR. 12 - NEW ISSUE)
- S-4 UNITED NATIONAL BANCORPORATION, 15 S MAIN ST, CHAMBERSBURG, PA 17201 (717) 267-1776 - 1,920,000 (\$68,160,000) COMMON STOCK. (FILE 33-21048 - APR. 11) (BR. 2)
- S-18 CELEBRITY RESORTS INC, 36-23 164 ST, FLUSHING, NY 11518 (718) 539-1582 - 4,360,000 (\$3,270,000) COMMON STOCK. 599,500 (\$599,500) COMMON STOCK. 599,500 (\$749,375) COMMON STOCK. 436,000 (\$392,400) COMMON STOCK. 400,000 (\$300,000) COMMON STOCK. (FILE 33-21054-NY - APR. 05) (BR. 6 - NEW ISSUE)
- S-18 WELLS SERVICES CORP, 9777 WILSHIRE BLVD STE 1018, BEVERLY HILLS, CA 90212 (213) 273-7778 - 2,000,000 (\$1,000,000) COMMON STOCK. 4,000,000 (\$4,000) WARRANTS, OPTIONS OR RIGHTS. 2,000,000 (\$1,500,000) COMMON STOCK. 2,200,000 (\$2,670,000) COMMON STOCK. 200,000 (\$200) WARRANTS, OPTIONS OR RIGHTS. (FILE 33-21055-LA - APR. 04) (BR. 11 - NEW ISSUE)
- S-2 FLEXI VAN LEASING INC, ONE UNIVERSITY PLZ, HACKENSACK, NJ 07601 (201) 342-4000 - 100,000,000 (\$100,000,000) STRAIGHT BONDS. (FILE 33-21073 - APR. 06) (BR. 5)
- S-1 WEST TENNESSEE FINANCIAL CORP, 795 E POPLAR AVE, SELMER, TN 38375 (901) 645-7954 - 86,250 (\$690,000) COMMON STOCK. (FILE 33-21076 - APR. 06) (BR. 2 - NEW ISSUE)
- F-3 BARCLAYS PLC, 75 WALL ST, C/O JOHN A KERSLAKE, NEW YORK, NY 10265 (212) 412-6000 - 20,000,000 (\$95,000,000) FOREIGN COMMON STOCK. (FILE 33-21080 - APR. 07) (BR. 1)
- S-8 NU MED INC, 16633 VENTURA BLVD 13TH FLR, ENCINO, CA 91436 (818) 990-2000 - 1,000,000 (\$1,625,000) COMMON STOCK. (FILE 33-21082 - APR. 07) (BR. 6)
- S-8 INDIAN HEAD BANKS INC, ONE INDIAN HEAD PLZ, NASHUA, NH 03060 (603) 880-5000 - 100,000 (\$3,600,000) COMMON STOCK. (FILE 33-21083 - APR. 07) (BR. 2)
- S-2 UNITED EDUCATION & SOFTWARE INC /DE/, 15720 VENTURA BLVD STE 312, ENCINO, CA 91436 (213) 872-3701 - 613,689 (\$8,668,358) COMMON STOCK. 1,472,000 (\$20,792,000) COMMON STOCK. (FILE 33-21084 - APR. 07) (BR. 5)
- S-18 MUNICIPAL SYSTEMS INC, 2909 MONTCHANIN RD, WILMINGTON, DE 19807 (302) 655-8838 - 40,000 (\$300,000) COMMON STOCK. 36,000,000 (\$2,700,000) COMMON STOCK. 24,000,000 (\$2,400,000) COMMON STOCK. (FILE 33-21085 - APR. 05) (BR. 9 - NEW ISSUE)

- S-1 U S THRIFT ACQUISITION PARTNERS LP, 1350 N ASTOR ST, CHICAGO, IL 60610
(312) 943-8811 - 150,000 (\$150,000,000) LIMITED PARTNERSHIP CERTIFICATE. UNDERWRITER:
MERRILL LYNCH CAPITAL MARKETS. (FILE 33-21086 - APR. 07) (BR. 1 - NEW ISSUE)
- S-8 MERCK & CO INC, P O BOX 2000, RAHWAY, NJ 07065 (201) 574-4000 - 250,000,000
(\$250,000,000) OTHER SECURITIES INCLUDING VOTING TRUST. (FILE 33-21087 - APR. 07)
(BR. 4)
- S-8 MERCK & CO INC, P O BOX 2000, RAHWAY, NJ 07065 (201) 574-4000 - 30,000,000
(\$30,000,000) OTHER SECURITIES INCLUDING VOTING TRUST. (FILE 33-21088 - APR. 07)
(BR. 4)
- S-9 IOMEGA CORP, 1821 W 4000 SOUTH, ROY, UT 84067 (801) 778-1000 - 450,000 (\$1,518,750)
COMMON STOCK. (FILE 33-21089 - APR. 07) (BR. 10)
- S-6 INSURED AMERICAN TAX EXEMPT BOND TRUST SERIES 34, 215 NORTH MAIN STREET, WEST BEND,
WI 53095 - 7,500 (\$7,875,000) UNIT INVESTMENT TRUST. (FILE 33-21090 - APR. 07)
(BR. 16 - NEW ISSUE)
- S-2 UNITED DOMINION REALTY TRUST INC, 5 E FRANKLIN ST, RICHMOND, VA 23219 (804) 780-2691
- 2,300,000 (\$41,112,500) COMMON STOCK. (FILE 33-21093 - APR. 07) (BR. 5)
- S-3 ANADARKO PETROLEUM CORP, 16855 NORTHCASE DR, P O BOX 1330, HOUSTON, TX 77251
(713) 875-1101 - 300,000,000 (\$300,000,000) STRAIGHT BONDS. (FILE 33-21094 - APR. 07)
(BR. 12)
- S-1 WALSHIRE ASSURANCE COMPANY, 34 W MIDDLE ST, GETTYSBURG, PA 17325 (717) 334-1197 -
11,500,000 (\$11,500,000) CONVERTIBLE DEBENTURES AND NOTES. (FILE 33-21096 - APR. 07)
(BR. 9)
- S-3 ENERGY SERVICE COMPANY INC, 1201 DAIRY ASHFORD STE 200, HOUSTON, TX 77079
(713) 496-6060 - 2,300,000 (\$57,500,000) PREFERRED STOCK. 57,500,000
CONVERTIBLE DEBENTURES AND NOTES. (FILE 33-21097 - APR. 07) (BR. 3)
- S-8 CENTRAL PENNSYLVANIA FINANCIAL CORP, 100 W INDEPENDENCE ST, SHAMOKIN, PA 17872
(717) 644-0861 - 220,500 (\$2,073,750) COMMON STOCK. (FILE 33-21098 - APR. 07) (BR. 2)
- S-3 PENNZOIL CO /DE/, PENNZOIL PL, P O BOX 2967, HOUSTON, TX 77252 (713) 546-4000 -
250,000,000 (\$250,000,000) STRAIGHT BONDS. (FILE 33-21101 - APR. 08) (BR. 11)
- S-8 ICOT CORP, 3801 ZANKER RD, P O BOX 5143, SAN JOSE, CA 95150 (408) 433-3300 -
450,000 (\$1,012,500) COMMON STOCK. (FILE 33-21102 - APR. 08) (BR. 10)
- S-8 ICOT CORP, 3801 ZANKER RD, P O BOX 5143, SAN JOSE, CA 95150 (408) 433-3300 - 60,000
(\$255,000) COMMON STOCK. (FILE 33-21103 - APR. 08) (BR. 10)
- S-1 GENERAL DEVELOPMENT CORP, 1111 S BAYSHORE DR, MIAMI, FL 33131 (305) 350-1200 -
10,000,000 (\$10,000,000) STRAIGHT BONDS. (FILE 33-21104 - APR. 08) (BR. 5)
- S-8 UNITED STATES SHOE CORP, ONE EASTWOOD DR, CINCINNATI, OH 45227 (513) 527-7000 -
2,450,000 (\$50,372,000) COMMON STOCK. (FILE 33-21106 - APR. 07) (BR. 7)
- S-8 PC QUOTE INC, 401 S LASALLE ST 16TH FL, CHICAGO, IL 60605 (312) 786-5400 - 650,000
(\$2,847,000) COMMON STOCK. (FILE 33-21108 - APR. 07) (BR. 9)
- S-4 FIRST AMERICAN CORP /TN/, FIRST AMERICAN CTR, NASHVILLE, TN 37237 (615) 748-2100 -
2,278,000 (\$21,845,000) COMMON STOCK. (FILE 33-21109 - APR. 07) (BR. 1)
- S-1 AURORA ENVIRONMENTAL INC, 104 E 25TH ST, NEW YORK, NY 10010 (212) 353-8280 -
500,900 (\$1,001,800) COMMON STOCK. (FILE 33-21110 - APR. 06) (BR. 6)
- S-8 MCDONNELL DOUGLAS CORP, P O BOX 516, ST LOUIS, MO 63166 (314) 232-0232 - 30,000,000
(\$30,000,000) OTHER SECURITIES INCLUDING VOTING TRUST. 60,000 COMMON STOCK. (FILE
33-21111 - APR. 08) (BR. 12)
- S-11 UNITED INVESTORS GROWTH PROPERTIES, 2400 PERSHING RD, P O BOX 418343, KANSAS CITY,
MO 64141 (816) 283-4000 - 160,000 (\$40,000,000) LIMITED PARTNERSHIP CERTIFICATE.
(FILE 33-21114 - APR. 08) (BR. 5 - NEW ISSUE)
- S-8 COURIER CORP, 165 JACKSON ST, LOWELL, MA 01852 (617) 458-6351 - 218,319
(\$3,766,002) COMMON STOCK. (FILE 33-21115 - APR. 08) (BR. 12)

- S-8 VLSI TECHNOLOGY INC, 1109 MCKAY DRIVE, SAN JOSE, CA 95131 (408) 434-3000 - 2,000,000 (\$17,750,000) COMMON STOCK. 1,000,000 (\$7,543,750) COMMON STOCK. (FILE 33-21116 - APR. 08) (BR. 3)
- V-1A FLAG INVESTORS EMERGING GROWTH FUND INC, 135 EAST BALTIMORE AVENUE, BALTIMORE, MD 21202 (301) 727-1700 - INDEFINITE SHARES. (FILE 33-21119 - APR. 08) (BR. 16 - NEW ISSUE)
- S-3 USF&G CORP, 100 LIGHT ST, BALTIMORE, MD 21202 (301) 547-3000 - 2,500,000 (\$76,406,250) COMMON STOCK. (FILE 33-21132 - APR. 08) (BR. 10)
- S-3 CITICORP, 399 PARK AVE, NEW YORK, NY 10043 (212) 559-1000 - 100,000,000 (\$100,000,000) STRAIGHT BONDS. 2,000,000 (\$8,000,000) WARRANTS, OPTIONS OR RIGHTS. (FILE 33-21134 - APR. 08) (BR. 1)
- V-2 ASSET OPPORTUNITY FUND INC, ONE SEAPORT PLAZA, NEW YORK, NY 10292 (212) 214-1250 - 11,500,000 (\$115,000,000) COMMON STOCK. UNDERWRITER: PRUDENTIAL BACHE SECURITIES INC. (FILE 33-21135 - APR. 08) (BR. 16 - NEW ISSUE)
- S-8 STATESMAN GROUP INC, 1400 DES MOINES BLDG, DES MOINES, IA 50309 (515) 284-7500 - 934,537 (\$4,272,829) COMMON STOCK. (FILE 33-21137 - APR. 08) (BR. 9)
- S-3 STATESMAN GROUP INC, 1400 DES MOINES BLDG, DES MOINES, IA 50309 (515) 284-7500 - 112,850 (\$465,506) COMMON STOCK. (FILE 33-21138 - APR. 08) (BR. 9)
- S-4 FIFTH THIRD BANCORP, 38 FOUNTAIN SQ PLZ, CINCINNATI, OH 45263 (513) 579-5300 - 110,250 (\$1,996,628) COMMON STOCK. (FILE 33-21139 - APR. 08) (BR. 1)
- S-3 BID MEDICUS INC, 9600 W 76TH ST, EDEN PRAIRIE, MN 55344 (612) 944-7784 - 148,272 (\$926,067) COMMON STOCK. (FILE 33-21140 - APR. 08) (BR. 8)
- S-8 AMERICAN COLLOID CO, ONE N ARLINGTON, 1500 W SHURE DR, ARLINGTON HEIGHTS, IL 60004 (312) 392-4600 - 200,000 (\$4,000,000) COMMON STOCK. (FILE 33-21141 - APR. 08) (BR. 2 - NEW ISSUE)
- S-9 WATKINS JOHNSON CO, 3333 HILLVIEW AVE, STANFORD INDUSTRIAL PARK, PALO ALTO, CA 94304 (415) 493-4141 - 400,000 (\$11,400,000) COMMON STOCK. (FILE 33-21142 - APR. 08) (BR. 7)
- S-4 SHEARSON LEHMAN BROTHERS HOLDINGS INC, AMERICAN EXPRESS TWR, WORLD FINANCIAL CNTR, NEW YORK, NY 10285 (212) 298-2000 - 250,000,000 (\$250,000,000) STRAIGHT BONDS. (FILE 33-21143 - APR. 12) (BR. 12)
- S-3 FILTERTEK INC/DE, 11411 PRICE RD, P O BOX 135, HEBRON, IL 60034 (815) 648-2416 - 692,616 (\$1,385,232) COMMON STOCK. 692,616 (\$5,540,928) COMMON STOCK. (FILE 33-21158 - APR. 11) (BR. 8)
- S-3 SUNSHINE MINING CO /DE/, 300 CRESENT CT 15TH FL, DALLAS, TX 75201 (214) 855-8700 - 40,250,000 (\$40,250,000) CONVERTIBLE DEBENTURES AND NOTES. (FILE 33-21159 - APR. 11) (BR. 2)
- S-8 ATLANTIC RICHFIELD CO /DE, 515 S FLOWER ST, LOS ANGELES, CA 90071 (213) 486-3511 - 338,750,000 (\$338,750,000) COMMON STOCK. (FILE 33-21160 - APR. 11) (BR. 12)
- S-8 ATLANTIC RICHFIELD CO /DE, 515 S FLOWER ST, LOS ANGELES, CA 90071 (213) 486-3511 - 500,000 (\$42,343,750) COMMON STOCK. 200,000,000 (\$200,000,000) OTHER SECURITIES INCLUDING VOTING TRUST. (FILE 33-21161 - APR. 11) (BR. 12)
- S-8 ATLANTIC RICHFIELD CO /DE, 515 S FLOWER ST, LOS ANGELES, CA 90071 (213) 486-3511 - 125,000,000 (\$125,000,000) OTHER SECURITIES INCLUDING VOTING TRUST. 1,000,000 (\$84,687,500) COMMON STOCK. (FILE 33-21162 - APR. 11) (BR. 12)
- S-6 NUVEEN TAX EXEMPT UNIT TRUST SERIES 465, 333 W WACKER DR, C/O JOHN NUVEEN & CO INC, CHICAGO, IL 60606 - INDEFINITE SHARES. DEPOSITOR: NUVEEN JOHN & CO INC. (FILE 33-21189 - APR. 12) (BR. 22 - NEW ISSUE)
- S-6 CORPORATE INCOME FUND HIGH YIELD SERIES 19, ONE LIBERTY PLZ - 21ST FLR, C/O MERRILL LYNCH PIERCE FENNER & SMITH, NEW YORK, NY 10080 - INDEFINITE SHARES. DEPOSITOR: MERRILL LYNCH PIERCE FENNER & SMITH INC, PAINWEBBER INC, PRUDENTIAL BACHE SECURITIES INC, SHEARSON LEHMAN HUTTON INC. (FILE 33-21190 - APR. 12) (BR. 22 - NEW ISSUE)

ACQUISITIONS OF SECURITIES

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the prior percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS (000)/ % OWNED	CUSIP/ PRIOR%	FILING STATUS
ALEXANDERS INC INTERSTATE PROPERTIES	COM 13D	4/13/88	1,352 27.2	01475210 24.5	UPDATE
ALPINE GROUP INC ELBAUM STEVEN S	COM 13D	3/31/88	810 20.7	02082510 12.2	UPDATE
BRINKMANN INSTRS INC NEW BLUM RICHARD C & ASSOC	COM 13D	4/ 8/88	847 23.0	10969210 19.8	UPDATE
BROWN ROBERT C & CO INC AMERICAN CORP LTD ET AL	COM 13D	2/ 4/88	0 0.0	11516710 N/A	UPDATE
BUNDY CORP GAMCO INVESTORS INC ET AL	COM 13D	4/11/88	0 0.0	12054710 21.5	UPDATE
CHI-CHIS INC FOODMAKER INC ET AL	COM 14D-1	4/13/88	21,127 92.1	16706010 90.7	UPDATE
CLEVETRUST RLTY INVS NATL FINL REALTY TRST ET AL	SH BEN INT 13D	4/13/88	369 19.0	18678010 10.2	UPDATE
COMPUTER MEMORIES INC SUN EQUITIES ET AL	COM 13D	4/12/88	3,213 26.3	20491310 25.2	UPDATE
DAMSON ENERGY CO L P FIRST CRESCENT CORP	UT LTD PARTN A 13D	4/ 4/88	9,100 49.8	23576410 0.0	NEW
DAMSON ENERGY CO L P FIRST CRESCENT CORP	UT LTD PARTN B 13D	4/ 4/88	5,900 37.2	23576420 0.0	NEW
ENSOURCE INC UNITED MERIDIAN COPP	COM PAR \$0.10 13D	3/29/88	3,769 100.0	29358020 100.0	RVSDION
EXCHANGE INTL CORP SALK JOEL I ET AL	COM 13D	4/ 6/88	679 5.8	30129010 0.0	NEW
GALVESTON RES LTD GOODMAN NED	CL A SUB VTG 13D	4/ 7/88	400 1.7	36499020 1.7	UPDATE
GALVESTON RES LTD GOTTLIEB MYRON I	CL A SUB VTG 13D	4/ 7/88	306 1.3	36499020 1.3	UPDATE
GALVESTON RES LTD CL B GOODMAN NED	CL B 13D	4/ 7/88	6,754 33.8	36499030 33.8	UPDATE
GALVESTON RES LTD CL B GOTTLIEB MYRON I	CL B 13D	4/ 7/88	3,009 1.5	36499030 1.5	UPDATE

ACQUISITIONS REPORTS CONT.

HELM PES INC FIRST INVESTORS MGMT CO	COM 13D	4/ 5/88	2,737 12.6	42342510 4.1	UPDATE
INGREDIENT TECHNOLOGY CORP WALDMAN ASSOCIATES ET AL	COM 13D	4/13/88	92 6.2	45718610 5.1	UPDATE
INTERMARK INC FAIRWAY ASSOC LTD	COM PAR \$3 13D	3/24/88	1,385 24.1	45877620 24.1	UPDATE
INTERMARK INC WARNER THOMAS N ET AL	COM PAR \$3 13D	3/24/88	375 6.5	45877620 0.0	NEW
INTERMARK INC FAIRWAY ASSOC LTD	PFD PART 13D	3/24/88	573 23.2	45877630 19.6	UPDATE
INTERMARK INC WARNER THOMAS N ET AL	PFD PART 13D	3/24/88	290 11.7	45877630 0.0	NEW
INTERNATIONAL CLINICAL LABS SMITHKLINE BECKMAN CORP	COM 14D-1	4/14/88	3,084 28.7	45927610 0.8	UPDATE
INTERNATIONAL CLINICAL LABS SMITHKLINE BECKMAN CORP	COM 14D-1	4/14/88	3,084 28.7	45927610 0.8	UPDATE
LIN BROADCASTING CORP BTD INVESTMENTS ET AL	COM 13D	4/ 7/88	2,820 5.4	53276310 0.0	NEW
LITTLE ARTHUR D INC MEMORIAL DRIVE TRUST	COM 13D	3/25/88	1,783 69.4	53724120 69.5	UPDATE
MEDIA GEN INC SIMMONS HAROLD C. ET AL	CL A 13D	3/31/88	20 0.1	58440410 0.0	UPDATE
PACIFIC NORTHWEST LIFE INS LAURENTIAN GROUP CORP ET AL	COM 13D	3/18/88	333 33.2	69468210 31.8	UPDATE
PLAZA COMMUNICATIONS INC CROSS THEMDORE L	COM 13D	4/ 7/88	383 11.0	72814910 25.0	UPDATE
UNION SPL COPP INDUSTRIAL EQUITY LTD ET AL	COM 14D-1	4/15/88	808 28.0	90832910 27.3	UPDATE
UNITED SAVERS BANCORP INC PIKE MILD L	COM 13D	4/11/88	233 5.1	91138710 0.0	NEW
VEGA BIOTECHNOLOGIES INC DUPONT E I DE NEMOURS & CO	COM 13D	3/29/88	0 0.0	92249610 N/A	UPDATE
VESTRO FOODS INC PRINCETON/MONTROSE PARTNS ET AL	COM 13D	3/30/88	12,220 99.9	92599210 97.9	UPDATE
WOLVERINE WORLD WIDE INC AUSTEXPORT PTY LTD	COM 13D	4/11/88	50 0.7	97809710 5.8	UPDATE
WOLVERINE WORLD WIDE INC MALCO HLDGS ET AL	COM 13D	4/11/88	50 0.7	97809710 5.8	UPDATE