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# sec news digest

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## NOTICE OF COMMISSION MEETINGS U.S. SECURITIES AND EXCHANGE COMMISSION

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### CHANGES IN THE MEETING

The following additional item will be considered by the Commission at the open meeting scheduled for Thursday, March 2, at 10 a.m.: Proposed adoption of revisions to Rule 146 and the adoption of related Form 146, relating to exemptions for offers and sales of securities by an issuer not involving a public offering.

### SCHEDULE OF NEXT WEEK'S MEETINGS

Following is a schedule of Commission meetings which will be conducted pursuant to provisions of the Government in the Sunshine Act. In general, the Commission expects to follow a schedule of holding closed meetings on Tuesdays, and open meetings on Thursday mornings. Meetings on Wednesday, and if necessary on Thursday afternoons, will be either open or closed according to the requirements of agenda items under consideration. The Commission will not normally meet on Mondays or Fridays.

Visitors are welcome at all open meetings, insofar as seating is available.

Meetings will be held in the Commission Meeting Room on the eighth floor of the Commission's headquarters building at 500 North Capitol Street, Washington, D.C. All visitors are required to sign in and obtain passes at the Reception Desk in the lobby. Persons wishing to photograph or tape record Commission meetings must obtain permission in advance from the Secretary of the Commission.

#### CLOSED MEETING - MONDAY, MARCH 6, 1978 - 3 P.M.

The subject matter of the March 6 closed meeting will be: Formal orders of investigation; Referral of investigative files to Federal, State, or Self-Regulatory authorities; Chapter XI proceedings; Institution of injunctive actions; Settlement of injunctive actions; Settlement of administrative proceedings of an enforcement nature; Freedom of Information Act appeals.

#### OPEN MEETING - THURSDAY, MARCH 9, 1978 - 9 A.M.

The subject matter of the March 9 open meeting will be:

(1) Affirmation of action taken by Commissioner Pollack, as Duty Officer, authorizing a Department of Justice attorney to examine documents for which Commission staff attorneys have asserted a privilege in the multidistrict litigation relating to Franklin National Bank.

(2) Consideration of a request for authorization to interview and take depositions of a Commission employee and two former Commission employees with respect to certain meetings during a closed investigation.

(3) Consideration of the transmittal of comments to the Office of Management and Budget (OMB) expressing the views of the Commission on OMB's draft bill amending the Independent Offices Appropriations Act of 1952.

#### CLOSED MEETING - THURSDAY, MARCH 9, 1978 - IMMEDIATELY FOLLOWING THE OPEN MEETING

The subject matter of the March 9 closed meeting will be: Opinion; Other litigation matters.

FOR FURTHER INFORMATION CONTACT: Kathy Malfa at (202) 376-8004 or Edward A. Scallet at (202) 755-1234

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## ADMINISTRATIVE PROCEEDINGS

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### AMERICAN GENERAL CAPITAL MANAGEMENT, INC., OTHERS

Public administrative proceedings have been ordered under the Investment Company Act of 1940, and the Investment Advisers Act of 1940, against American General Capital Management, Inc., a registered investment adviser, Charles T. Bauer, its former president, and Ernest H. Yount, III, a former portfolio manager with the firm, all of Houston, Texas. Simultaneously with the institution of the proceedings, the Commission accepted offers of settlement of each of the respondents and entered findings and orders imposing remedial actions.

The proceedings are based on staff allegations that American General Capital Management, Inc. caused American General Bond Fund, Inc., American General Convertible Securities, Inc., and American General Growth Fund, Inc., all registered investment companies advised by American General Capital Management, Inc., to purchase securities which violated the fundamental investment policies established by those registered investment companies and caused American General Bond Fund, Inc. and American General Convertible Securities, Inc. to purchase securities prohibited by the Investment Company Act. It is also alleged that American General Capital Management, Inc. caused violations of a Commission Order issued pursuant to Section 17(d) of the Investment Company Act, caused American General Bond Fund, Inc. to engage in certain joint transactions in violation of the Investment Company Act, and caused violations of the proxy and filing requirements of the Investment Company Act by American General Bond Fund, Inc. and American General Convertible Securities, Inc.

It is further alleged that American General Capital Management, Inc. violated the antifraud provisions of the Securities Act of 1933, by causing the use of false and misleading prospectuses in sale of shares issued by American General Bond Fund, Inc.

Yount is alleged to have violated the antifraud provisions of the Investment Advisers Act by engaging in certain prohibited personal securities transactions.

Bauer is charged with a failure to supervise with a view toward preventing the above violations. It is also alleged that American General Capital Management, Inc. violated the antifraud provisions of the Investment Advisers Act.

The Commission accepted offers of settlement by three defendants in which they agreed, without admitting or denying the allegations in the Commission's order for proceedings, to the following remedial actions: American General Capital Management, Inc. agreed to the imposition of a censure and to certain undertakings; Bauer agreed to the imposition of a censure and to certain undertakings; and Yount agreed to a thirty-day suspension. (Rel. IC-10131)

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## COURT ENFORCEMENT ACTIONS

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### NATIONAL INVESTMENT SERVICES, INC., OTHERS ENJOINED

The New York and Washington Regional Offices announced the filing of a complaint in the U.S. District Court for the Southern District of New York on February 17 seeking to enjoin National Investment Services, Inc. (NIS), Tanlinz Investment, Ltd. (Tanlinz), Quality Entertainment, Inc., Michael Portasnik, Samuel Gottfried, and C.E. Feltner, Jr. from violations of the registration and antifraud provisions of the securities laws.

The Commission's complaint alleges that from in or about 1973 to the present, the defendants offered and sold unregistered investment contracts in the form of motion picture tax shelters by means of materially misleading statements and omissions. The misrepresentations concerned, among other things, the ownership, valuation, and distribution potential of the films and the tax deductions available as a result of the purchase of the films, which were financed in major part by non-recourse debt.

Simultaneously with the filing of the complaint, defendants Quality, NIS, Gottfried and Feltner were enjoined by consent, without admitting or denying the allegations of the complaint, from further violations of the registration and antifraud provisions of the securities laws with respect to any securities. The injunctions as to NIS further provides to all purchasers of the NIS tax shelter ancillary relief in the form of assistance of tax counsel in the event such purchasers are subject to an Internal Revenue Service audit or proceeding in connection with the NIS tax shelter. (SEC v. National Investment Services, Inc., et al., U.S.D.C. S.D.N.Y., 78 Civil 725, MEL). (LR-8304)

**COMPLAINT NAMES INTERNATIONAL POSTAL SYSTEMS, INC.,  
OTHERS**

The Fort Worth Regional Office announced that on February 16 a civil injunctive complaint was filed in Federal District Court at Oxford, Mississippi, against International Postal Systems, Inc., Jackson, Mississippi; James Byron Waeckerle, Jay, Oklahoma; Thomas Franklin Tennison and Denzil Barnes, both of Springfield, Missouri; George Michael Malone, Marks, Mississippi; and James Milton Tripp, Russellville, Arkansas, alleging violations of the registration and antifraud provisions of the securities laws. The complaint alleged that from August 1971 to date, the defendants, in connection with the sale and delivery after sale of unregistered common stock of International Postal Systems, Inc., engaged in oral sales presentations which contained numerous false and misleading statements about International Postal Systems, Inc. The complaint also alleged that International Postal Systems, Inc., aided and abetted by Waeckerle, violated the transfer agent registration provisions of the securities laws. (SEC v. International Postal Systems, Inc., et al., N.D. Miss., WC78-145). (LR-8305)

**MARTIN H. POLLACK PLEADS GUILTY**

The Washington Regional Office and the Philadelphia Branch Office announced that on January 26 Martin H. Pollack of Philadelphia, Pennsylvania, pleaded guilty to the second count of a two-count information filed January 6 charging him with filing false federal income tax returns for the years 1971 and 1972. The tax violations with which Pollack was charged related to Pollack's employment in 1971-1972 as a securities salesman at Delphi Capital Corporation, a former Philadelphia stock brokerage firm. No date has been set for the sentencing of Pollack. (U.S. v. Martin H. Pollack, E.D. Pa., Information No. 78-8). (LR-8307)

**FORMER GOLDEN STATE BANCORPORATION DIRECTOR AGREES  
TO RESCISSION OFFER**

The SEC announced that Dr. William M. Wilson of Downey, California, without admitting or denying the allegations, consented to the entry of a final order prohibiting him from violating the antifraud and insider stock ownership reporting provisions of the Exchange Act. Wilson also undertakes to offer to rescind his purchases of Golden State Bancorporation stock made while in possession of material inside information, obtained while he was a director of that company, concerning the company's negotiations to sell its sole operating subsidiary. He further undertakes to file corrected stock ownership reports with the Commission. (SEC v. Arthur J. Baum, et al., U.S.D.C. C.D., Cal., Civil Action No. 78-0439 HP). (LR-8306)

**EUGENE T. ICHINOSE, JR. ENJOINED**

The Los Angeles Regional Office announced that on February 15 a consent order was issued against Eugene T. Ichinose, Jr. by the U.S. District Court in Honolulu, Hawaii. In a complaint filed on February 10, the Commission charged that Ichinose, while employed by M. H. Deckard & Co., Inc., a California based broker-dealer, violated Section 5 of the Securities Act of 1933 and Section 15(a) of the Securities Exchange Act of 1934. The complaint charges that Ichinose sold unregistered securities (Cal-Am limited partnerships) and acted as an unregistered broker-dealer. The complaint also alleges that Ichinose independently offered and sold securities and was essentially independent of Deckard. The order enjoins Ichinose from further violations and requires him to appoint an independent director to oversee and be responsible for compliance with the securities laws. Ichinose consented to the order without admitting or denying the allegations. (SEC v. Eugene T. Ichinose, D. Hawaii, Civil Action No. 78-0048-SPK). (LR-8308)

**HAROLD L. ERICKSON AND FRANCIS M. WILSON CONVICTED**

The Chicago Regional Office announced that on February 17 a jury of the U.S. District Court for the Eastern District of Wisconsin convicted Harold L. Erickson and Francis M. Wilson on each count of an eight count indictment. Erickson, former chief executive officer of American City Bank and Trust Company, N.A. and former president and chairman of the board of American Bankshares Corporation, and Wilson, former senior vice-president of American City Bank and Trust Company, were each found guilty of one count of conspiracy to file a false 10K report of American Bankshares Corporation for 1973 and to make false entries on the books and records of American City Bank. In addition, they were convicted of aiding and abetting the filing of a false 10K report of American Bankshares Corporation for 1973 and on six separate counts of making false entries on the records of American City Bank. (U.S. v. Harold L. Erickson and Francis M. Wilson, E.D. Wis., Criminal No. 77-CR-158). (LR-8309)

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## INVESTMENT COMPANY ACT RELEASES

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### STATE MUTUAL LIFE ASSURANCE COMPANY OF AMERICA

A notice has been issued giving interested persons until March 24 to request a hearing on an application of State Mutual Life Assurance Company of America (Insurance Company) the investment adviser to State Mutual Securities, Inc. (Fund), a registered, closed-end, diversified investment company, for an order, pursuant to Section 17(d) of the Act and Rule 17d-1 thereunder, permitting the Insurance Company to acquire \$1,600,000 of subordinated notes of Northwest Acceptance Corporation in exchange for identical notes of Union Investment Company without having the Fund also acquire such Northwest securities. (Rel. IC-10142 - Feb. 27)

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## HOLDING COMPANY ACT RELEASES

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### SYSTEM FUELS

A notice has been issued giving interested persons until March 23 to request a hearing on a proposal by subsidiaries of Middle South Utilities, Inc., and System Fuels, Inc., subsidiary of the companies, whereby System Fuels will borrow \$15 million from a bank in order to fulfill its obligations under a coal supply agreement with a nonaffiliated company. (Rel. 35-20423 - Feb. 27)

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## LISTING, DELISTING AND UNLISTED TRADING ACTIONS

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### DELISTINGS GRANTED

Orders have been issued granting the applications of the following stock exchanges to strike from listing and registration the specified securities of the following companies: American Stock Exchange, Inc. - Coit International, Inc., common stock, par value 10¢. (Rel. 34-14509) and Hallcraft Homes, Inc., common stock, no par value, and 5-3/4% convertible subordinated debentures, due 1996. (Rel. 34-14505). New York Stock Exchange, Inc. - Guardian Mortgage Investors, shares of beneficial interest and 7-1/2% senior subordinated notes, due 12/1/79. (Rel. 34-14510)

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## MISCELLANEOUS

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### LAKE SHORE FINANCIAL CORPORATION

A notice has been issued giving interested persons until March 24 to request a hearing on an application of Detroitbank Corporation on behalf of Lake Shore Financial Corporation, pursuant to Section 12(h) of the Securities Exchange Act of 1934, for an order exempting Lake Shore from the provisions of Sections 12(g), 13 and 15(d) of that Act. Lake Shore a bank holding company, was incorporated under the laws of the State of Delaware in 1972. On November 30, 1977, pursuant to an agreement and plan of merger dated March 31, 1977, Lake Shore was merged with a wholly-owned subsidiary of Detroitbank. As a result of the merger, Lake Shore's shareholders became entitled to exchange each share of common stock held for \$23.00 of 9% convertible subordinated debentures of Detroitbank or, in the alternative, to pursue their rights of appraisal pursuant to Section 262 of the Delaware General Corporation Law. (Rel. 34-14511)

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## SECURITIES ACT REGISTRATIONS

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The following registration statements have been filed with the SEC pursuant to the Securities Act of 1933. The information noted below has been taken from the cover page and the facing sheet of the prospectus and registration statement and will appear as follows: Form; Name, address and phone number (if available) of the issuer of the security; Title and the number or face amount of the securities being offered; Name of the managing underwriter (if applicable); Whether the offering is a rights offering; and File number and date filed.

(S-14) UNITED WESTERN CORPORATION, Suite 200, Executive Bldg., 35 East Seventh St., Cincinnati, Ohio 45202 (513) 721-5476 - 4,046,479 common shares. (File 2-60826 - Feb. 23)

- (S-8) CATERPILLAR TRACTOR CO., 100 N.E. Adams St., Peoria, Ill. 61629 - 1,200,000 shares of common stock and 21,000 of participations. (File 2-60847 - Feb. 27)
- (S-2) ENERGETICS MARKETING & MANAGEMENT ASSOCIATES, LTD., 465 California St., Suite 410, San Francisco, Cal. 94104 (415) 788-5534 - 2,600,000 shares of common stock. Underwriter: J. Daniel Bell & Company Inc., 1430 Larimer St., Suite 300, Denver, Colo. 80204 (303) 623-0777. The company is engaged in the business of acting as consultant, advisor and manager in the geothermal business. (File 2-60848 - Feb. 27)
- (S-7) THE UNITED ILLUMINATING COMPANY, 80 Temple St., New Haven, Conn. 06506 (203) 777-7981 - 750,000 shares of common stock. Underwriter: Morgan Stanley & Co. Inc., 55 Water St., New York, N.Y. The company is engaged principally in the production, purchase, transmission, distribution and sale of electricity. (File 2-60849 - Feb. 28)
- (S-7) DATAPOINT CORPORATION, 7900 Callaghan Rd., San Antonio, Tex. 78229 (512) 699-8399 - 611,900 shares of common stock. Underwriter: Kidder, Peabody & Co. Inc. The company produces a compatible family of general purpose computer systems. (File 2-60850 - Feb. 28)
- (S-11) CHURCH LOANS & INVESTMENTS TRUST, 4102 West 51st St., Amarillo, Tex. 79109 - 750,000 shares of beneficial interest. (File 2-60851 - Feb. 27)
- (S-14) BROWNING-FERRIS INDUSTRIES, INC., Fannin Bank Bldg., 1020 Holcombe Blvd., Houston, Tex. 77030 - 400,000 serial preferred stock, Series 7 and 666,666 shares of common stock. (File 2-60852 - Feb. 28)
- (S-14) SANITARY CONTAINER SERVICE INC., 3301 Glenn Ave., Winston-Salem, N.C. 27105 (919) 725-0221 - 1,735,250 shares of common stock. (File 2-60853 - Feb. 23)
- (S-11) PROFESSIONAL REAL ESTATE TRUST, 7900 Westpark Dr., Suite 100, McLean, Va. 22101 - 4,000 units each unit consisting of: 50 shares of beneficial interest, 50 warrants to purchase shares and \$250 of convertible subordinated debentures, due 1988. (File 2-60854 - Feb. 28)
- (S-1) FEDERAL EXPRESS CORPORATION, Memphis International Airport, Memphis, Tenn. 38130 (901) 369-3600 - 1,185,000 shares of Class A common stock. Underwriters: White, Weld & Co. Inc. and New Court Securities Corporation. The company provides door-to-door delivery of packages. (File 2-60855 - Feb. 28)
- (S-7) INTERSTATE POWER COMPANY, 1000 Main St., Dubuque, Iowa 52001 (319) 852-5421 - 10,000 shares of common stock. The company is engaged in the generation, purchase, transmission, sale and distribution of electricity. (File 2-60856 - Feb. 28)
- (S-14) RULE INDUSTRIES, INC., Cape Ann Industrial Park, Gloucester, Mass. 01930 (617) 281-0440 - 433,155 shares of common stock and \$100 million of 8% convertible subordinated debentures. (File 2-60857 - Feb. 28)
- (S-1) PETROLEUM EXPLORATION & DEVELOPMENT FUNDS, INC., 500 Metro Bldg., Midland, Tex. 79701 - 1,000 units of preformation limited partnership interests. (File 2-60858 - Feb. 28)
- (S-8) R. H. MEDICAL SERVICES, INC., 60 East Township Line, Elkins Park, Pa. 19117 (215) 742-1405 - 84,700 shares of common stock. (File 2-60859 - Feb. 28)
- (S-16) RYDER SYSTEM, INC., 3600 N.W. 82 Ave., Miami, Fla. 33166 (305) 593-3726 - 150,000 shares of common stock. (File 2-60860 - Feb. 28)

#### REGISTRATIONS EFFECTIVE

Feb. 23: Charter Medical Corp., 2-60652; The Dow Chemical Co., 2-60717; Petrolite Corp., 2-60578.  
 Feb. 24: Carpenter Technology Corp., 2-60549 & 2-60469; Centura Petroleum Fund 1978 2-59971; Cole Consumer Products, Inc., 2-60174 (90 days); Parker Hannifin Corp., 2-60812; Peabody International Corp., 2-60703; Reynolds Metals Co., 2-60694.

**NOTE TO DEALERS.** When applicable the 90-day period of time dealers are required to use the prospectus is noted above in parentheses after the name of the issuer. As to the other issuers, there may be no such requirement to use a prospectus, or the requirement may be for a period of only 40 days; see Section 4(3) of the Securities Act of 1933 and Rule 174 (17 CFR 230.174) thereunder.

## RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events: <sup>\*/</sup>

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Financial Statements and Exhibits.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Section (in ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

COMPANY	ITEM NO.	DATE
OKLAHOMA NATURAL GAS CO	5	01/27/78
PETROL INDUSTRIES INC	5,6	01/13/78
PRECISION POLYMERS INC	2,5	01/06/78
RAC CORP	5	12/26/77
REAL ESTATE PARTNERS OF AMERICA	4,6	01/23/78
RECLAMATION SYSTEMS INC	4,6	12/27/77
RELIANCE WORLD TRADE CO LTD	5	01/11/78
RIVERSIDE PRESS INC	2	02/01/78
ROANOKE ELECTRIC STEEL CORP	11,13	01/01/78 *
ROBO WASH INC	1,2,3	01/01/78
RONCO TELEPRODUCTS INC	4,6	01/16/78
SMITHFIELD FOODS INC	2,5,6	01/27/78
SOUTHERN PACIFIC TRANSPORTATION CO	5	01/31/78
SUN CO INC	2,5,6	01/31/78
TEXAS PLASTICS INC	4,6	01/16/78
TOWN & COUNTRY MOBILE HOMES INC	2,6	11/04/77
UNITED FINANCING CORP	5,6	01/13/78
UNITED TECHNOLOGIES CORP	5	01/24/78
VIDEO SYSTEMS CORP	3,6	01/17/78
WESTERN MORTGAGE INVESTORS	2	11/01/77
WESTLAND DEVELOPMENT CO INC	5	01/26/78
WESTVACO CORP	5,13	01/01/78 *
WORLD JAI ALAI INC	1,2,5,6	01/05/78
YOUNGSTOWN SHEET & TUBE CO	5	01/13/78
ADA RESOURCES INC	1	01/25/78
AIRCO INC	5,6	01/03/78
ALPHATYPE CORP	2,5,6	01/18/78
AMERICAN BRANDS INC	5	01/06/78
AMERICAN FIDELITY INVESTMENTS	2,6	11/04/77
AMERICAN FINANCE SYSTEM INC	5	01/31/78
AMERICAN PROPERTY INVESTORS VII	5,6	12/16/77
ANACOMP INC	4	01/19/78
APPLIED DATA RESEARCH INC	2	12/01/77
ASI COMMUNICATIONS INC	2,14	01/01/78 *
ASSOCIATED MADISON COMPANIES INC	5,6	01/10/78
ATLAS CORP	5	02/10/78
AUTOMATED PROCESSES INC	4,6	12/27/77
BANK OF AMERICA N T & S A MORTGAGE POOL	6	01/25/78
BATES MANUFACTURING CO INC	5,6	01/25/78
BELCO PETROLEUM CORP	2,6	01/25/78
BINNEY & SMITH INC	4,6	01/25/78
BOWL AMERICA INC	5	01/05/78
CAPITOL HILL ASSOCIATES INC	5,6	01/30/78
CIC FINANCIAL CORP	3	01/01/78
COMMUNITY BANCORPORATION	5	02/10/78
CONSOLIDATED FOODS CORP	2,6	01/10/78
COOK INDUSTRIES INC	5	01/01/78
COTTER & CO	5	01/23/78
CULLIGAN INTERNATIONAL CO	5,6	01/11/78
DADE ENGINEERING CORP	2,6	04/22/77
DANIEL INTERNATIONAL CORP	5	02/01/78
DANKER & WOHLK INC	5	02/01/78
DIVERSIFIED REALTY FUND A	4,6	01/27/78

RECENT 8K FILINGS CONT.

DIXICO INC	5,6	01/13/78
EMERY AIR FREIGHT CORP	12,13	01/01/78 *
ENERGY MINERALS CORP	4,6	01/19/78
ERO INDUSTRIES INC	2,6	01/31/78
EVANS PRODUCTS CO	5	01/31/78
EXECUTONE INC	5,6	01/30/78
FIFTH DIMENSION INC	4	01/23/78
FIRST BUILDERS BANCORP	1,4,6	11/21/77
FIRST GENERAL RESOURCES CO	3,13	12/01/77 *
FIRST LIBERTY CORP	3,5,6	01/12/78
FIRST NATIONAL BANCSHARES INC	5	01/31/78
G&K SERVICES INC	2,6	01/23/78
GANNETT CO INC	2,6	01/30/78
GEARHART OWEN INDUSTRIES INC	5,6	01/24/78
GIBRALTAR PARI MUTUEL INC	6	01/01/78
GLASROCK PRODUCTS INC	5,6	01/23/78
GOLDEN NUGGET INC	5	01/18/78
GREEN MOUNTAIN POWER CORP	5	02/10/78
GROMAN CORP	5	01/23/78
HANDLEMAN CO	4	01/01/78
HARVEY R J INSTRUMENT CORP	1	02/09/78
HASTINGS MANUFACTURING CO	5	02/03/78
HAVERTY FURNITURE COMPANIES INC	5	01/20/78
HIGHLAND COAL & CHEMICAL CO	1,2	06/15/77
HIGHWAY EQUIPMENT CO	2	01/05/78
HOE R & CO INC	5,6	02/06/78
HOWELL CORP	5	01/01/78
HSC SERVICES INC	1,2,6	01/26/78
HUMANA INC	2,6	01/10/78
HYATT CORP	5	01/01/78
INDIANA FLORIDA REALTY TRUST	2,5	01/01/78
INLAND HERITAGE CORP	5	01/01/78
INTERWAY CORP	5	01/01/78
KENAI DRILLING LTD	2,5,6	01/10/78
LEATH & CO	5,6	01/06/78
LOCTITE CORP	5,6	01/31/78
LORAL CORP	5	01/01/78
LOUIS SHERRY INC	5	01/06/78
MACKE CO	2,6	01/27/78
MOGEN DAVID KOSHER MEAT PRODUCTS CORP	2,6	01/06/78
MWA CO	5	01/01/78
NEWMALL LAND & FARMING CO	2	02/01/78
NORDSTROM INC	5	01/01/78
NORFOLK CAROLINA TELEPHONE CO	5	02/02/78
NORTHERN INDIANA PUBLIC SERVICE CO	5,6	01/01/78
NORTHERN STATES BANCORPORATION INC	5	01/18/78
NORTHWEST NITRO CHEMICALS LTD	5,6	01/12/78
OLD HERITAGE CORP	5,6	01/20/78
PACESETTER FINANCIAL CORP	2,6	02/10/78
PATTON OIL CO	5	02/01/78
PETRO LEWIS OIL INCOME PROGRAM VI	2,6	01/01/78
PETROL INDUSTRIES INC	5,6	02/06/78
PITTSBURGH TESTING LABORATORY	5	01/06/78
PROCESS PLANTS CORP	5	01/16/78
PUBLISHING COMPUTER SERVICE INC	5	01/01/78
REGAL BELOIT CORP	5	12/01/77
REIS ROBERT & CO	5,6	01/01/78
RESTAURANT ASSOCIATES INDUSTRIES INC	5,6	01/01/78
ROBLIN INDUSTRIES INC	5,6	02/01/78
SAFEWAY STORES INC	5	01/03/78
SCIOTO DOWNS INC	5	02/01/78
SEARLE G D & CO	5,6	01/11/78
SHEPMYERS INVESTMENT CO	1,2,4,5,6	01/13/78
SOUTHWEST PETROCHEM INC	5	01/11/78
SOUTHWESTERN BELL TELEPHONE CO	5	01/01/78
STERLING ELECTRONICS CORP	5	01/10/78
STP CORP	5	02/09/78
STRATEGIC MEDICAL RESEARCH CORP	5,6	01/03/78
SYCOR INC	1,5,6	01/23/78
TELEX CORP	2,6	01/20/78
TEXAS AMERICAN BANCSHARES INC	5	01/01/78
TOWER PRODUCTS INC	5	01/13/78
TWENTIETH CENTURY FOX FILM CORP	5,6	01/13/78

RECENT 8K FILINGS CONT.

UNICARE SERVICES INC	5,6	01/01/78
UNION ELECTRIC CO	5	01/13/78
UNITED FINANCIAL CORP OF CALIFORNIA	5	01/01/78
UNITED MERCHANTS & MANUFACTURERS INC	5,6	01/11/78
UNITED STATES MINERALS & ROYALTY CORP	13	01/01/78 *
UNIVERSAL CONTAINER CORP	5,6	01/18/78
UTAH POWER & LIGHT CO	5	01/25/78
VALLEY CEMENT INDUSTRIES INC	2	01/01/78
WALGREEN CO	5	12/01/77
WALTER JIM CORP	5	02/01/78
WARNACO INC	5	01/26/78
WARNER CO	2,6	01/23/78
WELDED TUBE CO OF AMERICA	5	01/01/78
WHEELING PITTSBURGH STEEL CORP	5,6	01/25/78
WGRLCO INC	5	12/02/77
AMENDMENTS TO REPORTS ON FORM 8-K		
AMERICAN TECHNICAL INDUSTRIES INC	5	12/28/77
ANTA CORP	6	11/01/77
MCKEE INCOME REALTY TRUST	2	01/31/78
MICRODATA CORP	6	12/12/77
NEWBERY ENERGY CORP	6	10/08/77
WESTINGHOUSE ELECTRIC CORP	13	02/01/76 *
ASTRONICS CORP	6	11/03/77
IROQUOIS BRANDS LTD	6	12/05/77
JAMES RIVER CORP OF VIRGINIA	5	12/30/77
MID CONTINENT ENERGY CORP	6	07/01/77
SCHOTT INDUSTRIES INC	4	12/28/77
YUBA GOLDFIELDS INC	6	12/19/77

\* / The Form 8-K was amended (Rel. 34-13156, January 13, 1977) effective for all events which occur subsequent to February 28, 1977. Those companies above which are noted with an asterisk (\*) have filed pursuant to the old Form 8-K; the captions of the item numbers under which such reports are filed are as follows:

Item 1. Changes in Control of Registrant  
 Item 2. Acquisition or Disposition of Assets  
 Item 3. Legal Proceedings  
 Item 4. Changes in Securities  
 Item 5. Changes in Security for Registered Securities  
 Item 6. Defaults upon Senior Securities  
 Item 7. Increase in Amount of Securities Outstanding  
 Item 8. Decrease in Amount of Securities Outstanding

Item 9. Options to Purchase Securities  
 Item 10. Extraordinary items, other material charges and credits and capital restatements  
 Item 11. Submission of Matters to a Vote of Security Holders  
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