

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES ACT OF 1933
Release No. 8894 / February 14, 2008

SECURITIES EXCHANGE ACT OF 1934
Release No. 57327 / February 14, 2008

INVESTMENT COMPANY ACT OF 1940
Release No. 28152 / February 14, 2008

ADMINISTRATIVE PROCEEDING
File No. 3-12559

In the Matter of

**TRAUTMAN WASSERMAN &
COMPANY, INC.,
GREGORY O. TRAUTMAN,
SAMUEL M. WASSERMAN,
MARK BARBERA,
JAMES A. WILSON, JR.,
JEROME SNYDER, AND
FORDE H. PRIGOT,**

Respondents.

**ORDER MAKING FINDINGS AND
IMPOSING REMEDIAL SANCTIONS
PURSUANT TO SECTION 15(b) OF
THE SECURITIES EXCHANGE ACT
OF 1934 AND SECTION 9(b) OF THE
INVESTMENT COMPANY ACT OF
1940, AND INSTITUTING A CEASE-
AND-DESIST PROCEEDING
PURSUANT TO SECTION 8A OF THE
SECURITIES ACT OF 1933, SECTION
21C OF THE SECURITIES
EXCHANGE ACT OF 1934 AND
SECTION 9(f) OF THE INVESTMENT
COMPANY ACT OF 1940, MAKING
FINDINGS AND IMPOSING A
CEASE-AND-DESIST ORDER AS TO
MARK BARBERA**

I.

On February 5, 2007, the Securities and Exchange Commission (“Commission”) instituted public administrative and cease-and-desist proceedings pursuant to Section 8A of the Securities Act of 1933 (“Securities Act”), Sections 15(b) and 21C of the Securities Exchange Act of 1934 (“Exchange Act”), and Sections 9(b) and 9(f) of the Investment Company Act of 1940 (“Investment Company Act”) against respondents including Mark Barbera (“Respondent” or “Barbera”). On June 1, 2007, the Commission issued an Order Dismissing Cease-and-Desist Proceedings Against Barbera, which dismissed the cease-and-desist proceedings instituted by the

