



....

GTH Executing Agent Subsidy Program(33)		
GTH Monthly Customer SPX and VIX Options Volume	Subsidy	
0-19,999 contracts	\$0.00	
[20,000-39,999 contracts]	[\$10,000]	
[4]20,000-99,999 contracts	\$15,000	
100,000+ contracts	\$50,000	

A designated GTH executing agent will receive the monthly subsidy amount that corresponds to the number of contracts executed on behalf of customers (including public and broker-dealer customers) during GTH in a calendar month per the GTH Executing Agent Subsidy Program table above. To become a designated GTH executing agent, a TPH must submit a form to the Exchange no later than 3:00 p.m. on the second to last business day of a calendar month to be designated an GTH executing agent under the program, and thus eligible for the subsidy, beginning the following calendar month. The TPH must include on or with the form information demonstrating it maintains an GTH executing agent operation: (1) physically staffed throughout each entire GTH trading session and (2) willing to accept and execute orders on behalf of customers[, including customers for which the agent does not hold accounts]. The designation will be effective the first business day of the following calendar month, subject to the Exchange's confirmation the TPH's GTH executing agent operations and will remain in effect until the Exchange receives an email from the TPH terminating its designation or the Exchange determines the TPH's GTH executing agent operation no longer satisfies these two conditions.

GTH SPX Customer Rebate Program (33)		
<u>Tier</u>	Rebate per Contract	Required Criteria
1	\$0.00	 TPH has SPX Customer capacity volume during GTH1 (7:15PM-2:00AM CST) ≤ 50,000 contracts; or TPH has SPX Customer capacity volume during the entirety of GTH ≤ 500,000 contracts
<u>2</u>	<u>(\$0.02)</u>	(1) TPH has SPX Customer capacity volume during GTH1 > 50.000 contracts: and (2) TPH has SPX Customer capacity volume during the entirety of GTH > 500,000 contracts
<u>3</u>	/co o4)	(1) TPH has SPX Customer capacity volume during GTH1 > 200,000 contracts; and (2) TPH has SPX Customer capacity volume during the entirety of GTH > 1,000,000 contracts
<u>4</u>	<u>(\$0.06)</u>	(1) TPH has SPX Customer capacity volume during GTH1 > 400,000 contracts; and (2) TPH has SPX Customer capacity volume during the entirety of GTH > 1,300,000 contracts

Footnotes:			
Footnote Number	Description		

33	FLEX Micro Options are excluded from the following programs: SPX/SPXW and SPESG Liquidity Provider Sliding Scale, Liquidity Provider Sliding Scale Adjustment Table, Volume Incentive Program, Break-Up Credits, Affiliate Volume Plan, Market Clearing Trading Permit Holder Proprietary Products Sliding Scale, Clearing Trading Permit Holder Fee Cap, Select Customer Options Reduction ("SCORe") Program, Customer Large Trade Discount, Market-Maker Tier Appointment Fee thresholds, Floor Broker Trading Surchathresholds, Floor Broker Sliding Scale Rebate Program, Floor Broker ADV Discount, Floor Brokerage Fees, Floor Brokerage Fees Discount Scale, GTH Executing Agent Subsidy Program, GTH SPX Customer Rebate Program, Order Router Subsidy Program, Complex Order Router Program, and Frequent Trader Program.		
