

## MEMORANDUM

**To:** File No. S7-24-15

**From:** Matthew Cook, Senior Advisor to Chairman Jay Clayton

**Re:** Meeting with Representatives and Members of Investment Company Institute

**Date:** August 11, 2020

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On August 11, 2020, Bryan Wood (Deputy Chief of Staff), Alan Cohen (Senior Policy Advisor), Kimberly Hamm (Chief Counsel and Senior Policy Advisor), Dalia Blass (Director of Division of Investment Management) and Matthew Cook (Senior Advisor) met with the representatives and members of the Investment Company Institute (“ICI”) listed below. Chairman Jay Clayton and Sean Memon (Chief of Staff) joined for a portion of the meeting.

- Paul Schott Stevens (President and Chief Executive Officer, ICI)
- Susan Olson (General Counsel, ICI)
- Shelly Antoniewicz (Senior Director, Industry and Financial Analysis, ICI)
- Ken Fang (Assistant General Counsel, ICI)
- Richard Grant (Managing Director, AQR Capital Management, LLC)
- Michael Mendelson (Principal, AQR Capital Management, LLC)
- Alexander Kymn (Senior Corporate Counsel, Franklin Templeton)
- Tilak Lal (SVP, Head-Risk Global Macro/Alternatives, Franklin Templeton)
- Arthur Leiz (Managing Director, CRO, Goldman Sachs & Co.)
- Wendy Yun (Managing Director & Associate General Counsel, Goldman Sachs & Co.)

The meeting participants discussed the SEC’s proposed rules relating to use of derivatives by registered investment companies and business development companies.